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# Decision of the Athletics Integrity Unit in the Case of Ms Helen Daniela Baltazar

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## Introduction

1. World Athletics has established the Athletics Integrity Unit ("**AIU**") whose role is to protect the integrity of the sport of Athletics, including fulfilling World Athletics' obligations as a Signatory to the World Anti-Doping Code (the "**Code**"). World Athletics has delegated implementation of the World Athletics Anti-Doping Rules ("**ADR**") to the AIU, including but not limited to the following activities in relation to International-Level Athletes: Testing, Investigations, Results Management, Hearings, Sanctions and Appeals.
2. Ms Helen Daniela Baltazar ("the **Athlete**") is a 30-year-old road runner from Bolivia.<sup>1</sup>
3. This decision is issued by the AIU pursuant to Rule 8.5.6 ADR, which provides as follows:

*"8.5.6 In the event that the Athlete or other Person either (i) admits the violation and accepts the proposed Consequences or (ii) is deemed to have admitted the violation and accepted the Consequences as per Rule 8.5.2(f), the Integrity Unit will promptly:*

- (a) issue a decision confirming the commission of the violation(s) and the imposition of the specified Consequences (including, if applicable, a justification for why the maximum potential sanction was not imposed);*
- (b) Publicly Report that decision in accordance with Rule 14;*
- (c) send a copy of the decision to the Athlete or other Person and to any other party that has a right, further to Rule 13, to appeal the decision (and any such party may, within 15 days of receipt, request a copy of the full case file pertaining to the decision)."*

## The Athlete's Commission of an Anti-Doping Rule Violation

4. Rule 2 ADR sets out that the following shall constitute an Anti-Doping Rule Violation:

*"2.1 Presence of a Prohibited Substance or its Metabolites or Markers in an Athlete's Sample*

*[...]*

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<sup>1</sup> <https://worldathletics.org/athletes/bolivia/helen-daniela-baltazar-14422741>

## 2.2 Use or Attempted Use by an Athlete of a Prohibited Substance or a Prohibited Method”

5. On 7 April 2024, the Athlete provided a urine Sample In-Competition at the ‘28<sup>o</sup> Maratona Internacional de São Paulo’ in São Paulo, Brazil, which was given code 6557877 (the “**Sample**”).
6. On 29 April 2024, the World Anti-Doping Agency (“**WADA**”) accredited laboratory in Rio de Janeiro, Brasil (the “**Laboratory**”) reported that the analysis of the Sample had revealed the presence of Oxandrolone and Stanozolol (the “**Adverse Analytical Finding**”).
7. Oxandrolone and Stanozolol are Prohibited Substances under the WADA 2024 Prohibited List under the category S1.1 Anabolic Androgenic Steroids (AAS). They are Non-Specified Substances prohibited at all times.
8. The AIU reviewed the Adverse Analytical Finding in accordance with Article 5 of the International Standard for Results Management (“**ISRM**”) and determined that:
  - 8.1. the Athlete did not have a Therapeutic Use Exemption (“**TUE**”) that had been granted (or that would be granted) for either the Oxandrolone or the Stanozolol found in the Sample; and
  - 8.2. there was no apparent departure from the International Standard for Testing and Investigations (“**ISTI**”) or from the International Standard for Laboratories (“**ISL**”) that could reasonably have caused the Adverse Analytical Finding.
9. On 13 May 2024, the AIU notified the Athlete of the Adverse Analytical Finding in accordance with Article 5.1.2.1 of the ISRM, including that the Adverse Analytical Finding may result in Anti-Doping Rule Violations pursuant to Rule 2.1 ADR and/or Rule 2.2 ADR and of the imposition of an immediate Provisional Suspension.
10. The Athlete was also informed of her rights, *inter alia*, to request the B Sample analysis, to request copies of the laboratory documentation supporting the Adverse Analytical Finding and to admit the Anti-Doping Rule Violations and potentially benefit from a one-year reduction in the period of Ineligibility pursuant to Rule 10.8.1 ADR. The AIU also requested that the Athlete provide an explanation for the Adverse Analytical Finding by no later than 20 May 2024.
11. On 20 May 2024, the AIU received an Admission of Anti-Doping Rule Violations and Acceptance of Consequences Form signed by the Athlete.

## Consequences

12. This is the Athlete’s first Anti-Doping Rule Violation.
13. Rule 10.2 ADR specifies that the period of Ineligibility for Anti-Doping Rule Violations under Rule 2.1 ADR or Rule 2.2 ADR shall be as follows:

*“10.2.1 Save where Rule 10.2.4 applies, the period of Ineligibility will be four years where:*

- (a) *The anti-doping rule violation does not involve a Specified Substance or a Specified Method, unless the Athlete or other Person can establish that the anti-doping rule violation was not intentional.*
  - (b) *The anti-doping rule violation involves a Specified Substance or a Specified Method and the Integrity Unit can establish that the anti-doping rule violation was intentional. intentional.”*
- 14. Oxandrolone and Stanozolol are Prohibited Substances under the WADA 2024 Prohibited List under the category S1.1 Anabolic Androgenic Steroids (AAS). They are Non-Specified Substances prohibited at all times.
- 15. The period of Ineligibility to be imposed is therefore a period of Ineligibility of four (4) years, unless the Athlete demonstrates that the Anti-Doping Rule Violations were not intentional.
- 16. The Athlete has not demonstrated that the Anti-Doping Rule Violations were not intentional. Therefore, the mandatory period of Ineligibility is a period of Ineligibility of four (4) years.
- 17. However, Rule 10.4 ADR specifies that the period of Ineligibility for an Anti-Doping Rule Violation may be greater than the standard sanction when it is established that Aggravating Circumstances are present:

*“10.4 Aggravating Circumstances that may increase the period of Ineligibility*

*If the Integrity Unit or other prosecuting authority establishes in an individual case involving an anti-doping rule violation [...] that Aggravating Circumstances are present which justify the imposition of a period of Ineligibility greater than the standard sanction, then the period of Ineligibility otherwise applicable will be increased by an additional period of Ineligibility of up to two (2) years depending on the seriousness of the violation and the nature of the Aggravating Circumstances, unless the Athlete or other Person can establish that they did not knowingly commit the anti-doping rule violation.”*

- 18. Aggravating Circumstances are defined in the ADR as follows:

*“Aggravating Circumstances: Circumstances involving, or actions by, an Athlete or other Person which may justify the imposition of a period of Ineligibility greater than the standard sanction. Such circumstances and actions shall include, but are not limited to: the Athlete or other Person Used or Possessed multiple Prohibited Substances or Prohibited Methods, Used or Possessed a Prohibited Substance or Prohibited Method on multiple occasions or committed multiple other anti-doping rule violations; a normal individual would be likely to enjoy the performance-enhancing effects of the anti-doping rule violation(s) beyond the otherwise applicable period of Ineligibility; the Athlete or Person engaged in deceptive or obstructive conduct to avoid the detection or adjudication of an*

*anti-doping rule violation; or the Athlete or other Person engaged in Tampering during Results Management. For the avoidance of doubt, the examples of circumstances and conduct described herein are not exclusive and other similar circumstances or conduct may also justify the imposition of a longer period of Ineligibility.”*

19. The analysis of the Sample revealed the presence of Oxandrolone and Stanozolol. This constitutes clear evidence of the Athlete’s Use of multiple Prohibited Substances which is expressly identified in the definition of Aggravating Circumstances and therefore justifies an increase of the period of Ineligibility unless the Athlete can establish that she did not knowingly commit the Anti-Doping Rule Violations.
20. The Athlete has failed to establish that she did not knowingly commit the Anti-Doping Rule Violations. Therefore, the period of Ineligibility to be imposed is a period of six (6) years.
21. However, Rule 10.8.1 ADR provides that an athlete potentially subject to an asserted period of Ineligibility of four (4) years or more may benefit from a one (1)-year reduction in the period of Ineligibility based on an early admission and acceptance of sanction:

*“10.8.1 One year reduction for certain anti-doping rule violations based on early admission and acceptance of sanction.*

*Where the Integrity Unit notifies an Athlete or other Person of an anti-doping rule violation charge that carries an asserted period of Ineligibility of four (4) or more years (including any period of Ineligibility asserted under Rule 10.4), if the Athlete or other Person admits the violation and accepts the asserted period of Ineligibility no later than 20 days after receiving the Notice of Charge, the Athlete or other Person may receive a one (1) year reduction in the period of Ineligibility asserted by the Integrity Unit. Where the Athlete or other Person receives the one (1) year reduction in the asserted period of Ineligibility under this Rule 10.8.1, no further reduction in the asserted period of Ineligibility will be allowed under any other Rule.”*

22. A Notice of Allegation was issued to the Athlete on 13 May 2024. On 20 May 2024, the AIU received an Admission of Anti-Doping Rule Violations and Acceptance of Consequences Form signed by the Athlete confirming that she admitted the Anti-Doping Rule Violations and accepted the asserted period of Ineligibility of six (6) years.<sup>2</sup>

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<sup>2</sup> The Notice of Allegation of Anti-Doping Rule Violations was issued to the Athlete by the AIU in accordance with Article 5.1.2 of the ISRM, prior to a Notice of Charge issued in accordance with Article 7 of the ISRM. Considering the Athlete’s signed admission and acceptance of Consequences on 20 May 2024, no Notice of Charge was ever issued.

23. The Athlete shall therefore receive a one (1) year reduction in the asserted period of Ineligibility pursuant to Rule 10.8.1 ADR based on an early admission and acceptance of sanction.
24. On the basis that the Athlete has admitted the Anti-Doping Rule Violations under Rule 2.1 ADR and Rule 2.2 ADR, in accordance with Rule 10.2.1 ADR and Rule 10.4 ADR, and by application of Rule 10.8.1 ADR, the AIU confirms by this decision the following Consequences for a first Anti-Doping Rule Violation:
- 24.1. a period of Ineligibility of five (5) years commencing on 13 May 2024 (the date of Provisional Suspension); and
- 24.2. disqualification of the Athlete's results on and since 7 April 2024, with all resulting Consequences, including the forfeiture of any medals, titles, awards, points, prizes, prize money and appearance money.
25. The Athlete has accepted the above Consequences for her Anti-Doping Rule Violations and has expressly waived her right to have those Consequences determined by the Disciplinary Tribunal at a hearing.

## Publication

26. In accordance with Rule 8.5.6(b) ADR, the AIU shall publicly report this decision on the AIU's website.

## Rights of Appeal

27. This decision constitutes the final decision of the AIU pursuant to Rule 8.5.6 ADR.
28. Further to Rule 13.2.3 ADR, WADA and the National Anti-Doping Commission of Bolivia ("ONAD-BOLIVIA") have a right of appeal against this decision to the Court of Arbitration for Sport in Lausanne, Switzerland, in accordance with the procedure set out at Rule 13.6.1 ADR.
29. If an appeal is filed against this decision by WADA or ONAD-BOLIVIA, the Athlete will be entitled to exercise her right of cross-appeal in accordance with Rule 13.2.4 ADR.

Monaco, 20 June 2024