

## **15. Member Federation Anti-Doping Obligations**

### **15.1 Introduction**

- 15.1.1 This Rule 15 establishes a framework of Member Federation anti-doping obligations that is designed to ensure that Member Federations have rules and policies that are compliant with the Code and the International Standards but also that Member Federations take ultimate responsibility for the delivery of strong and effective anti-doping programmes in Athletics in their respective jurisdictions.
- 15.1.2 World Athletics has a responsibility under the Code to require that the policies, rules and programmes of its Member Federations are in compliance with the Code and the International Standards and to take appropriate action to discourage non-compliance. The Integrity Unit shall monitor the compliance of Member Federations with their obligations under this Rule and shall either work with non-compliant Member Federations to ensure that they become compliant or shall refer non-compliant Member Federations to the Council for sanction. The ultimate objective is to ensure that strong, compliant anti-doping programmes are being applied and enforced in Athletics consistently and effectively so that clean Athletes can have confidence that there is a fair competition on a level playing field and that public confidence in the integrity of Athletics can be maintained.

### **15.2 General**

- 15.2.1 It is a condition of membership of World Athletics that each Member Federation shall comply with these Anti-Doping Rules (and the Code and the International Standards that are integral parts of these Anti-Doping Rules). The obligation to comply is an absolute one such that it is not necessary to prove fault or intent on the part of a Member Federation to establish a breach of these Anti-Doping Rules.
- 15.2.2 Member Federations shall take all necessary measures within their powers to implement and comply with these Anti-Doping Rules.
- 15.2.3 Without limitation to the above, it is acknowledged that, in some jurisdictions, Member Federations may delegate or assign anti-doping functions under these Anti-Doping Rules to the Relevant Anti-Doping Organisation or that such functions are under the authority of the Relevant Anti-Doping Organisation as a matter of applicable national legislation or regulation. In such cases, a Member Federation may achieve compliance with these Anti-Doping Rules through the actions of the Relevant Anti-Doping Organisation but, if the Relevant Anti-Doping Organisation fails to meet the requirements under these Anti-Doping Rules, the Member Federation will be held to be in breach.
- 15.2.4 For the avoidance of doubt, where a Member Federation is held to be in breach of these Rules, it shall be no defence that:
- (a) its obligations have been delegated or assigned to a Relevant Anti-Doping Organisation;

- (b) its obligations are under the authority of a Relevant Anti-Doping Organisation as a matter of applicable national legislation or regulation;
- (c) the breach has been caused by interference by, and/or a failure to provide support or other act or omission by, any governmental or other public authorities.

15.2.5 For the purposes of these Rules, a Member Federation shall be liable and deemed responsible for the acts and omissions of its servants, agents, employees, directors or officials (and for the acts and omissions of any servants, agents, employees, directors or officials of the Relevant Anti-Doping Organisation to which its obligations under these Anti-Doping Rules have been delegated or assigned or under whose authority they fall as a matter of applicable national legislation or regulation).

### 15.3 Categorisation of Member Federations

15.3.1 For the purposes of this Rule 15, Member Federations shall be categorized according to their doping risk to the sport in descending order, categories A, B and C (category A Member Federations having the highest doping risk to the sport and category 'C' Member Federations having the lowest doping risk to the sport).

15.3.2 The specific obligations of a Member Federation as set out in this Rule 15 will be determined by its assigned category. Certain obligations will apply to all Member Federations whilst others will apply depending on the category in which the Member Federation is placed.

15.3.3 Prior to the commencement of each year, the Integrity Unit Board shall determine in its absolute discretion the category of each Member Federation by taking into account the following factors:

- (a) the doping history of Athletes, Athlete Support Personnel and other Persons under the jurisdiction of the Member Federation;
- (b) confidential intelligence or other information provided by the Integrity Unit;
- (c) the success of the Member Federation in International Competitions or in particular International Competitions, whether as a whole or in particular disciplines;
- (d) any significant improvement in the performance of the Member Federation's Athletes at any level of competition;
- (e) the number of Athletes representing the Member Federation in International Competitions or in particular International Competitions;
- (f) compliance by the Member Federation with this Rule 15;
- (g) any other matter the Integrity Unit in its absolute discretion thinks fit.

- 15.3.4 In respect of a determination of the Integrity Unit Board under Rule 15.3.3:
- (a) the Integrity Unit Board may adopt such process for making a determination as it deems fit;
  - (b) the Integrity Unit Board is not required to give reasons for its determination;
  - (c) the Integrity Unit Board is not required to disclose any confidential intelligence or other information that formed part of its decision making;
  - (d) the determination is not subject to appeal or review.
- 15.3.5 In the event that a Member Federation's category is changed from one year to the next taking into consideration the factors in Rule 15.3.3, the Integrity Unit Board may, in its absolute discretion, stay the effect of its determination, or any part of it, for such period and upon such conditions as it deems appropriate to permit the orderly transition of the Member Federation to compliance with the new requirements.
- 15.3.6 In exceptional cases (including without limitation new intelligence or information becoming available), the Integrity Unit Board may change a Member Federation's assigned category from Category 'B' to Category 'A' during the course of the year. In such cases, the Integrity Unit Board shall impose on the Member Federation for that year such of the specific obligations for Category 'A' Member Federations in Rule 15.5 as it deems to be appropriate (in the same or modified form) and upon such notice as it considers to be reasonable in the circumstances.

#### **15.4 General Obligations Applying to All Member Federations**

##### **15.4.1 General Conduct of Member Federations**

A Member Federation shall be held to be in breach of the Anti-Doping Rules if either it or the Relevant Anti-Doping Organisation:

- (a) engages in conduct in relation to or associated with doping in Athletics or the implementation or administration of these Anti-Doping Rules that is likely to prejudice the interests of World Athletics or bring the sport of Athletics into disrepute;
- (b) engages in any dishonest, corrupt, fraudulent or improper act or practice in relation to doping in Athletics, or the administration or implementation of these Anti-Doping Rules;
- (c) conducts itself negligently or recklessly in response to any risk of doping in Athletics in its jurisdiction;
- (d) obstructs or frustrates anti-doping processes in Athletics from occurring;
- (e) obstructs, hinders or delays an investigation conducted by the Integrity Unit

pursuant to these Anti-Doping Rules (e.g., by providing false, misleading or incomplete information or documentation, by tampering with or destroying any documentation or other information that may be relevant to the investigation, or by interfering with or taking reprisals against any witness to an investigation).

#### 15.4.2 Adoption of compliant rules and regulations

- (a) Member Federations shall incorporate these Anti-Doping Rules either directly or by reference into their governance documents, constitution and/or rules, or shall establish rules the same as these Anti-Doping Rules, so that the Member Federation may enforce these Anti-Doping Rules directly against all Athletes, Athlete Support Personnel and other Persons under its jurisdiction.
- (b) Without limitation to the above, Member Federations shall specifically adopt a policy or rule implementing Rule 2.11 of these Anti-Doping Rules (Acts by an Athlete or Other Person to discourage or retaliate against reporting to authorities).
- (c) Member Federations shall require in their rules that all Athletes preparing for or participating in a Competition or activity authorised or organised by a Member Federation or one of its member organisations, and all Athlete Support Personnel associated with such Athletes, agree to be bound by these Anti-Doping Rules and to submit to the Results Management authority of the Anti-Doping Organisation responsible under these Anti-Doping Rules as a condition of such participation.
- (d) Member Federations shall have disciplinary rules in place to prevent Athlete Support Personnel who are Using Prohibited Substances or Prohibited Methods without valid justification from providing support to Athletes under their jurisdiction.
- (e) Member Federations shall include in their rules specific provisions to ensure that World Athletics may through the Integrity Unit apply these Anti-Doping Rules directly as against all Athletes, Athlete Support Personnel and other Persons under their jurisdiction, including the servants, agents, employees, directors and officials of the Member Federation.

#### 15.4.3 Mechanism for Reporting Doping

A Member Federation shall be held to be in breach of the Anti-Doping Rules if either it or the Relevant Anti-Doping Organisation for its jurisdiction fails to:

- (a) take reasonable steps to promote actively an open environment that encourages Athletes, Athlete Support Personnel and other whistle-blowers to report on doping and other non-compliant situations (including the intimidation of or threats to Athletes or DCOs by Athlete Support Personnel);

- (b) put in place effective mechanisms (e.g., hotlines, email addresses for the provision of tip-offs) to enable doping and other non-compliant situations to be reported directly to a designated individual or entity at national or regional level whose function is to assist the Person reporting the information and/or to the Integrity Unit and/or to WADA.

#### 15.4.4 Obligation to pursue and report all apparent Anti-Doping Rule Violations

A Member Federation shall be held to be in breach of the Anti-Doping Rules if either the Member Federation (acting as a Delegated Third Party) or the Relevant Anti-Doping Organisation for its jurisdiction fails to:

- (a) pursue in a proper and timely fashion (including the timely notification of all such cases to the Integrity Unit) all apparent anti-doping rule violations committed by their Athletes, Athlete Support Personnel and other Persons;
- (b) report to the Integrity Unit on a timely basis any information in its possession, suggesting or relating in any way to an apparent anti-doping rule violation by an Athlete, Athlete Support Person or other Person under its jurisdiction. Thereafter, the Member Federation or Relevant National Anti-Doping Organisation must cooperate and assist fully with the Integrity Unit in the investigation of that information, including (without limitation) reporting any further information received on the same or any related subject;
- (c) investigate a possible violation of these Anti-Doping Rules by one or more Athlete, Athlete Support Person or other Person under the Member Federation's jurisdiction (where appropriate, acting in conjunction with any other relevant national authority or body) when requested by the Integrity Unit to do so and provide a written report on such investigation within a reasonable time period as stipulated by the Integrity Unit.

#### 15.4.5 Reporting and Results Management obligations

A Member Federation shall be held to be in breach of these Anti-Doping Rules if either the Member Federation (acting as a Delegated Third Party) or the Relevant National Anti-Doping Organisation for its jurisdiction fails:

- (a) to notify the Integrity Unit in writing of all relevant Results Management activities in accordance with these Anti-Doping Rules;
- (b) to notify the Integrity Unit promptly, and in all circumstances, within 14 days, of any Adverse Analytical Finding or Atypical Finding obtained in the course of Testing, together with the name of the Athlete concerned and all documents relevant to the Adverse Analytical Finding or Atypical Finding in question;
- (c) to notify the Integrity Unit promptly of any other anti-doping rule violation asserted against an Athlete, Athlete Support Person or other Person;

- (d) in the case of proceedings other than before the Disciplinary Tribunal, to conduct a hearing process in accordance with Rule 8.13 for any Person who is asserted to have committed an anti-doping rule violation. The hearing process shall provide at a minimum for: a fair hearing within a reasonable time; before a fair and impartial hearing panel; with a timely, written reasoned decision; and (for cases other than those under Rule 13.2.1) a right of appeal to an institutionally independent body in accordance with rules established by the Relevant Anti-Doping Organisation;
- (e) to notify the Integrity Unit in writing within 5 working days of any decision taken under these Anti-Doping Rules that is subject to an appeal in accordance with Rule 13 (and to provide the Integrity Unit with a copy of the written reasons for the decision in English or French and a copy of the complete file upon request);
- (f) to notify the Integrity Unit within 5 days of the commencement of any appeal (including to CAS) to which the Member Federation and/or an Athlete, Athlete Support Person or other Person is a party that arises from a decision taken within its jurisdiction. At the time of notification, the Member Federation or Relevant National Anti-Doping Organisation shall ensure that the Integrity Unit receives a copy of the statement of appeal in the case;
- (g) to respect fully decisions taken under these Anti-Doping Rules in respect of Athletes, Athlete Support Personnel and other Persons and to give assistance to the Integrity Unit to enforce such decisions where necessary;
- (h) to ensure that any medals forfeited by Athletes due to the Disqualification of their results are delivered to World Athletics within 30 days of receipt of the final appellate decision on Disqualification or the expiry of the time limit to appeal.

#### 15.4.6 Personnel related to Anti-Doping

- (a) Each Member Federation shall take all reasonable measures when engaging or funding Athlete Support Personnel or other Persons to work with Athletes to ensure that such persons are of good character and repute and that the risk of doping in relation to their engagement is minimised.
- (b) No Member Federation shall knowingly elect, appoint or employ a Person in any position involving Doping Control (other than authorised anti-doping Education or rehabilitation programmes) who is Provisionally Suspended or is serving a period of Ineligibility under the Anti-Doping Rules or, if a Person was not subject to the Code, who has directly and intentionally engaged in conduct within the previous six (6) years that would have constituted a violation. Member Federations shall keep and maintain a complete, accurate and up to date register of Athlete Support Personnel and other Persons that they engage or fund to work with Athletes.

- (c) The register of Athlete Support Personnel and other Persons referred to in Rule 15.4.6(b) shall be maintained in such form and shall contain such details as required by the Integrity Unit from time to time. The register must be made available for inspection by the Integrity Unit upon request.
- (d) Where any person contracted to a Member Federation (whether as an employee or a consultant, agent or adviser), holding an office or directorship with a Member Federation or sitting on a Member Federation committee or commission is found to have committed an Anti-Doping Rule Violation, the Member Federation shall terminate its relationship with that person immediately, unless otherwise required by law or agreed with the Integrity Unit.

#### 15.4.7 Medical treatment and supplementation

- (a) Member Federations shall keep and maintain complete, accurate and up to date records of all relevant treatments (as defined in Rule 15.4.7(b)) that have been administered or dispensed to Athletes under the jurisdiction of the Member Federation either by:
  - (i) the Member Federation itself, or by one of the Member Federation's office-holders, employees, servants, agents, consultants or advisers; or
  - (ii) another person on behalf of the Member Federation; or
  - (iii) a person authorised by the Member Federation to do so; or
  - (iv) a person funded (in cash or in kind) by the Member Federation to do so.
- (b) For the purposes of Rule 15.4.7(a), relevant treatments are all medications, drugs, therapeutic substances and performance supplements administered or dispensed to Athletes.
- (c) The records referred to in Rule 15.4.7(a) shall include full and proper details of any relevant treatment administered or dispensed to an Athlete including without limitation:
  - (i) the reason for treatment of the Athlete and the dates, places and times the treatment was administered or dispensed;
  - (ii) the names of Person(s) administering or dispensing the treatment;
  - (iii) the names of the Athlete who was treated;
  - (iv) the method of administration to the Athlete;

- (v) the dosages administered or dispensed to the Athlete;
  - (vi) the instructions provided when dispensing the treatment.
- (d) Without limiting any other part of these Rules, upon request of the Integrity Unit for good cause made in accordance with these Rules, a Member Federation shall produce the records required to be maintained under this Rule 15.4.7 for inspection.
- (e) For the avoidance of doubt, it is the responsibility of the Member Federation to ensure that its employees, service providers and consultants maintain and provide the records necessary for compliance with this Rule 15.4.7.
- (f) In addition to the other requirements of this Rule 15.4.7, Member Federations shall provide the Integrity Unit upon request with a complete, accurate and up to date list of all medications, drugs, therapeutic substances and performance supplements that they intend to import into a country for the purposes of treating their National Team at any World Athletics Series competition and shall explain, if requested, the reason for such medications or supplements and for which Athletes they are intended.
- (g) Compliance with this Rule is subject to any Personal Information being processed in accordance with the International Standard for the Protection of Privacy and Personal Information and in accordance with applicable data protection laws.

#### 15.4.8 Therapeutic Use Exemptions

A Member Federation shall be held to be in breach of these Anti-Doping Rules if the Relevant Anti-Doping Organisation for its jurisdiction fails:

- (a) to put in place a TUE Committee and a documented process for national-level Athletes to apply for the grant of a TUE in accordance with the requirements of the International Standard for Therapeutic Use Exemptions;
- (b) to report promptly to World Athletics and WADA any TUEs that are granted to national-level Athletes (and to ensure the timely entry of TUE decisions on ADAMS).

### 15.5 Specific Obligations for Category 'A' Member Federations

#### 15.5.1 Testing

- (a) A Category 'A' Member Federation shall be held to be in breach of these Anti-Doping Rules if there is not an effective, intelligent and proportionate annual Testing plan maintained and implemented in Athletics within its jurisdiction that complies with the International Standard for Testing and Investigations and the requirements of Rule 15.5.1(b) ("**Testing Plan**").



- (b) The Testing Plan must:
- (i) have the objective of ensuring that all Athletes in the pool from which a National Team is likely to be selected for any World Athletics World Championships or Olympic Games, and who are not already on the International Registered Testing Pool, are adequately tested in accordance with the requirements of this Rule;
  - (ii) be in place, properly documented (including the names of all the Athletes in the pool and applicable test distribution details) and notified to the Integrity Unit by no later than 1 January each year and thereafter kept up-to-date;
  - (iii) include in-competition testing, no notice out-of-competition testing and pre-competition blood testing for screening purposes (Athlete Biological Passport) and analyses as prescribed under WADA's Technical Document on Sport-Specific Analyses (TDSSA);
  - (iv) provide for all Samples to be collected by the Relevant Anti-Doping Organisation or other Sample collection authority in compliance with the International Standard for Testing and Investigations;
  - (v) provide for all samples to be analysed by WADA-accredited (or WADA-approved) laboratories for full menu analysis (or, in the case of ABP samples, for full ABP analysis) and the results must be reported continuously to the Integrity Unit and WADA in accordance with the requirements of the International Standard for Laboratories. Relevant doping control forms must be recorded on ADAMS and copies made available to the Integrity Unit upon request;
  - (vi) provide for co-operation and co-ordination between any Relevant National Anti-Doping Organisation and the Integrity Unit in accordance with the International Standard for Testing and Investigations to further the objective set out in Rule 15.5.1(b)(i);
  - (vii) provide for reporting against the performance of the Testing Plan on the request of the Integrity Unit, in a form and manner as required by the Integrity Unit.
- (c) Unless otherwise approved by the Integrity Unit in exceptional circumstances, no Athlete may participate as part of a National Team of a Category 'A' Member Federation in World Athletics World Championships or Olympic Games unless, in the 10 months prior to the competition, they have undergone at least three no notice out-of-competition tests (urine and blood) including (if they compete in any of a middle distance event from 800m upwards, a long distance event, a combined event or a race walk event) at least one Athlete Biological Passport test and one EPO test. All such tests are to be conducted under the authority of an Anti-Doping Organisation no less

than 3 weeks apart and the results recorded by the relevant entity in ADAMS. Category 'A' Member Federations shall ensure that all Athletes to whom this requirement may be relevant receive effective written notice of it by no later than 1 January of each year.

- (d) The Integrity Unit has responsibility to ensure that the testing requirements of Rule 15.5.1(b) are fulfilled for Category 'A' Athletes in the International Registered Testing Pool and it is the responsibility of Category 'A' Member Federations (or a Relevant Anti-Doping Organisation on behalf of the Member Federation) to ensure that these requirements are fulfilled for all other Athletes.

#### 15.5.2 Whereabouts

- (a) Without limiting any other Rule, and in particular the personal obligations of Athletes under Rule 5.5, Category 'A' Member Federations must take all necessary steps to ensure that Athletes in the International Registered Testing Pool maintain detailed, complete, accurate and up-to-date whereabouts information as required by these Anti-Doping Rules.
- (b) In determining whether a Category 'A' Member Federation has complied with Rule 15.5.2, it is deemed to be the responsibility of Category 'A' Member Federations to ensure and monitor that its Athletes in the International Registered Testing Pool are properly educated about, aware of and compliant with the whereabouts requirements set out in the World Athletics Anti-Doping Rules.

#### 15.5.3 Anti-Doping Education

- (a) A Category 'A' Member Federation shall ensure that every Athlete in a National Team for a World Athletics Series competition and all Athlete Support Personnel under its jurisdiction participating in such a competition are subject to mandatory anti-doping Education programmes that provide updated and accurate information on at least the following issues: substances and methods on the Prohibited List, Anti-Doping Rule Violations, Consequences of doping, including sanctions, health and social consequences, doping control procedures, Athletes and Athletes Support Personnel's rights and responsibilities, TUEs, managing the risks of nutritional supplements, harm of doping to the spirit of sport and whereabouts requirements.
- (b) Category 'A' Member Federations shall be required to co-operate with the Integrity Unit with regards to the development and implementation of anti-doping Education programmes.

#### 15.5.4 Anti-Doping Governance Committee

- (a) Each Category 'A' Member Federation shall establish a suitably qualified and experienced Anti-Doping Monitoring Committee to oversee and ensure the organisation's compliance with the requirements of this Rule 15. The composition of the Anti-Doping Monitoring Committee is at the discretion of the Member Federation and may include both internal and independent members.
- (b) Each Category 'A' Member Federation shall, upon request, provide the Integrity Unit with details of the names, backgrounds and responsibilities of each person sitting on the Anti-Doping Monitoring Committee, the terms of reference of such committee and keep such information up-to-date.
- (c) Each Category 'A' Member Federation shall appoint a dedicated employee to act as the point of contact between the Integrity Unit and the Member Federation's Anti-Doping Monitoring Committee and it shall notify the name and contact details of the appointed person to the Integrity Unit.

#### 15.5.5 Other Specific Obligations

In addition to the specific obligations set out in Rule 15.5 above, the Council, upon recommendation of the Integrity Unit Board, may impose such other obligations on a Category 'A' Member Federation as it deems fit and for such period as it deems to be reasonable and appropriate having regard to the specific circumstances of the Member Federation or Relevant Anti-Doping Organisation's anti-doping programme and/or the anti-doping situation in the Member Federation's country.

### 15.6 Specific Obligations for Category 'B' Member Federations

#### 15.6.1 Testing

- (a) Category 'B' Member Federations shall ensure that, in relation to the pool of Athletes from which the National Team for any World Championships or Olympic Games is likely to be selected, there is an effective, intelligent and proportionate Testing plan maintained and implemented at national level that complies with the International Standard for Testing and Investigations and the requirements of Rule 15.6.1(b) ("**Testing Plan**").
- (b) The Testing Plan must:
  - (i) have the objective of ensuring that athletes selected by a Member Federation for any World Championships or Olympic Games, and who are not already on the International Registered Testing Pool, are adequately tested prior to the relevant competition in accordance with the requirements of this Rule;
  - (ii) be in place, properly documented (including the names of all the Athletes in the pool and applicable test distribution details) and

notified to the Integrity Unit by no later 31 January of each year (unless otherwise agreed by the Integrity Unit), and thereafter kept up-to-date;

- (iii) include in-competition Testing, no notice out-of-competition Testing and pre-competition blood Testing for detection and profiling analyses as prescribed under WADA's Technical Document on Sport-Specific Analyses (TDSSA);
  - (iv) provide for all Samples to be collected by the Relevant Anti-Doping Organisation or other Sample collection authority in compliance with the International Standard for Testing and Investigations;
  - (v) provide for all samples to be analysed by WADA-accredited (or WADA-approved) laboratories for full menu analysis (or, in the case of ABP samples, for full ABP analysis);
  - (vi) provide for the results of tests to be recorded by the Relevant Anti-Doping Organisation in a timely manner on ADAMS or otherwise to be notified in a form agreed by the Integrity Unit;
  - (vii) provide for co-operation and co-ordination between any Relevant Anti-Doping Organisation and the Integrity Unit in accordance with the International Standard for Testing and Investigations to further the objective set out in Rule 15.6.1(b)(i);
  - (viii) provide for reporting against the performance of the Testing Plan on the request of the Integrity Unit.
- (c) For the purposes of Rule 15.6.1 (a), each Member Federation shall ensure as a minimum that it:
- (i) communicates with the Relevant Anti-Doping Organisation about the Member Federation's obligations under this Rule 15.6.1;
  - (ii) requests and advocates for the support of the Relevant Anti-Doping Organisation to maintain and implement the Testing Plan;
  - (iii) if required, requests and advocates for funding to maintain and implement the Testing Plan;
  - (iv) engages with any Relevant Anti-Doping Organisation and the Integrity Unit from the earliest practicable time to provide information about the pool of athletes from which the National Team for any World Championships or Olympic Games is likely to be selected in order to develop an appropriate Testing Plan;
  - (v) keeps up to date the information referred to in Rule 15.6.1(c)(iii) throughout the duration of the Testing Plan;

- (vi) facilitates, requests and advocates for co-operation and co-ordination between any Relevant Anti-Doping Organisation and the Integrity Unit.

#### 15.6.2 Anti-Doping Oversight Function

- (a) Each Category 'B' Member Federation shall have sufficient resources within its governance and/or management structure to oversee and ensure the organisation's compliance with the requirements of this Rule 15. Each Category 'B' Member Federation shall appoint one person as the primary contact for the Integrity Unit who shall have the requisite authority to represent the Member Federation.
- (b) Each Category 'B' Member Federation shall, upon request, provide the Integrity Unit with details of the names, backgrounds and responsibilities of each person appointed to oversee and ensure the organisation's compliance with the requirements of this Rule 15 and shall keep such information up-to-date.

#### 15.6.3 Anti-Doping Education

- (a) A Category 'B' Member Federation shall use its best endeavours to ensure that every Athlete in a National Team for a World Athletics Series competition and all Athlete Support Personnel under its jurisdiction participating in such a competition are subject to mandatory anti-doping Education programmes that provide updated and accurate information on at least the following issues: substances and methods on the Prohibited List, Anti-Doping Rule Violations, Consequences of doping, including sanctions, health and social consequences, doping control procedures, Athletes and Athletes Support Personnel's rights and responsibilities, TUEs, managing the risks of nutritional supplements, harm of doping to the spirit of sport and whereabouts requirements.
- (b) Category 'B' Member Federations shall co-operate with the Integrity Unit with regards to the development and implementation of anti-doping Education programmes.

#### 15.6.4 Other Specific Obligations

In addition to the specific obligations set out in Rule 15.6 above, the Council, upon recommendation of the Integrity Unit Board, may impose such other obligations on a Category 'B' Member Federation as it deems fit and for such period as it deems to be reasonable and appropriate having regard to the specific circumstances of the Member Federation's anti-doping programme and/or the anti-doping situation in the Member Federation's jurisdiction.

## 15.7 Specific Obligations for Category 'C' Member Federations

### 15.7.1 Testing

- (a) Category 'C' Member Federations shall take all reasonable steps to ensure that Athletes selected in the National Team for any World Championships or Olympic Games are subject to Testing prior to the Competition and that the samples are collected by the Relevant Anti-Doping Organisation or other Sample collection authority in compliance with the International Standard for Testing and Investigations;
- (b) Each Category 'C' Member Federation shall report on an annual basis in a form and manner as determined by the Integrity Unit, all Testing conducted at national level. The annual report shall include relevant details as determined by the Integrity Unit from time to time.

### 15.7.2 Anti-Doping Education

- (a) A Category 'C' Member Federation shall use its best endeavours to ensure that every Athlete in a National Team for a World Athletics Series competition and all Athlete Support Personnel under its jurisdiction participating in such a competition are subject to mandatory anti-doping Education programmes that provide updated and accurate information about the substances and methods on the Prohibited List, anti-doping rule violations and the Consequences of doping, including sanctions.
- (b) Category 'C' Member Federations shall co-operate with the Integrity Unit with regards to the development and implementation of anti-doping Education programmes.

## 15.8 Investigations by the Integrity Unit

- 15.8.1 The Integrity Unit shall have the power to conduct investigations into matters that it believes may evidence or lead to the discovery of evidence of an Anti-Doping Rule Violation or a breach of this Rule 15 by a Member Federation. Such investigations may be conducted in conjunction with, and/or information obtained in such investigations may be shared with, other Signatories and/or relevant authorities or other bodies. The Integrity Unit will have discretion, where it deems it appropriate, to stay its own investigation pending the outcome of investigations being conducted by other Signatories and/or relevant authorities or other bodies. The mere existence of another investigation does not entitle the subject of an investigation to seek a stay of the investigation being carried out by the Integrity Unit.
- 15.8.2 All Member Federations must co-operate and assist fully with any investigation conducted by the Integrity Unit pursuant to this Rule 15 or any other of these Anti-Doping Rules and a refusal or failure to do so without compelling justification shall be considered a serious breach of its obligations under these Anti-Doping Rules.

- 15.8.3 The Integrity Unit may make a written demand (Demand) to a Member Federation to provide the Integrity Unit with any information, record, article, or thing in its possession or control that the Head of the Integrity Unit reasonably believes may evidence or lead to the discovery of evidence of an Anti-Doping Rule Violation or a breach of this Rule 15 by a Member Federation.
- 15.8.4 Without limiting the foregoing, pursuant to Rule 15.8.3, the Integrity Unit may require a Member Federation to:
- (a) procure the attendance and co-operation of any of its office holders, employees, servants or agents before the Integrity Unit for an interview, or to answer any question, or to provide a written statement setting out their knowledge of any relevant facts and circumstances;
  - (b) provide (or procure to the best of its ability the provision by any third party) for inspection, copying and/or downloading any records or files in hardcopy or electronic format, that the Head of the Integrity Unit believes in good faith may contain relevant information (such as itemised telephone bills, bank statements, ledgers, notes, files, correspondence, emails, messages, servers);
  - (c) provide (or procure to the best of its ability the provision by any third party) for inspection, copying and/or downloading any electronic storage device in which the Head of the Integrity Unit believes in good faith relevant information may be stored (such as cloud-based servers, computers, hard drives, tapes, disks, mobile telephones, laptop computers, tablets and other mobile storage devices);
  - (d) provide full and unlimited access to the Member Federation's premises for the purpose of securing information, records, articles or things the subject of a Demand;
  - (e) provide passwords, login credentials and other identifying information required to access electronically stored records that are the subject of a Demand;
  - (f) procure the full co-operation of its office holders, employees, servants, agents, consultants and contractors in responding to the Demand.
- 15.8.5 Subject to Rule 15.8.6, a Member Federation must comply with a Demand in such reasonable period of time as determined by the Integrity Unit and set out in the Demand. Each Member Federation (and each of its office-holders, employees, servants, agents, consultants or advisers) waives and forfeits any rights, defences and privileges provided by any law in any jurisdiction to withhold any information, record, article, or thing requested in a Demand.
- 15.8.6 Where a Demand relates to any information, record, article or thing that in the opinion of the Head of the Integrity Unit is capable of being damaged, altered, destroyed or hidden (any electronic storage device, or electronically stored

information shall be deemed to meet this criteria), then for the purposes of evidence preservation, the Integrity Unit may require a Member Federation to comply immediately with the Demand. In such a case:

- (a) the Member Federation must immediately comply with the Demand and permit the Integrity Unit to take immediate possession of, copy and/or download the information, record, article or thing, however, the Integrity Unit may not immediately inspect the same;
- (b) a Member Federation has 7 days from receipt of the Demand to file an objection to the Demand by requesting a review by the chairperson of the Disciplinary Tribunal (or their delegate) in accordance with Rule 15.8.7;
- (c) if a Member Federation does not file an objection within 7 days of receipt of the Demand, or notifies the Integrity Unit that it does not object to the Demand, or the Disciplinary Tribunal rules that the Demand is valid, the Integrity Unit may forthwith inspect the information, record, article or thing and otherwise make use of it in accordance with this Rule;
- (d) if the Disciplinary Tribunal rules the Demand to be invalid, the information, record, article or thing and any copy or download of same shall either be immediately returned or destroyed as the case requires;
- (e) in all cases under this Rule, the requirement of Rule 15.8.6(a) for a Member Federation to immediately comply with a Demand is paramount and a failure to do so shall be deemed to be a serious breach of Rule 15.8.2 incapable of remedy.

15.8.7 A Member Federation may object to a Demand on the basis that it does not comply with the requirements of Rule 15.8.3 or 15.8.4 by application to the chairperson of the Disciplinary Tribunal within 7 days of receiving the Demand. Where such an application is made, subject always to Rule 15.8.6(a), the time for complying with a Demand shall be stayed pending the outcome of the objection. The chairperson of the Disciplinary Tribunal or their delegate shall hear such an objection with as much expediency as the justice of the case permits and, unless exceptional circumstances apply, such hearing shall be by way of written evidence and submissions. The ruling of the Disciplinary Tribunal as to the validity of the Demand shall not be subject to appeal. If a Demand is set aside, it shall not preclude the making of any other valid Demand in relation to the same investigation.

15.8.8 If a Member Federation or Area Association fails to comply with a Demand that has not been ruled invalid under Rule 15.8.7, it shall constitute a serious breach of Rule 15.8.2 and be sanctioned with the highest degree of fault.

15.8.9 Any information, record, article or thing provided to the Integrity Unit under this Rule will be kept confidential except where it is disclosed for the purpose of furthering the investigation or as part of proceedings relating to a possible Anti-Doping Rule Violation or breach of any of the Rules, or when such information,



record, article or thing is reported to administrative, professional or judicial authorities pursuant to an investigation or prosecution of non-sporting laws or regulations, or is otherwise required by law.

15.8.10 If a Member Federation obstructs or delays an investigation (e.g., by providing false, misleading or incomplete information or documentation and/or by tampering or destroying any documentation or other information that may be relevant to the investigation), this may itself constitute an independent serious breach of these Anti-Doping Rules.

#### 15.9 Monitoring and Referral to Council by the Integrity Unit

15.9.1 The Integrity Unit shall have the power to monitor the anti-doping processes, practices and activities (Anti-Doping Programmes) of Member Federations to assist in the proper categorisation of Member Federations under Rule 15.3 and to ensure the compliance of Member Federations with their obligations under this Rule 15 (and the Code and the International Standards). For the avoidance of doubt, compliance with the Code and the International Standards shall include but not be limited to Member Federations:

- (a) conducting Testing only under the documented authority of World Athletics/the Integrity Unit or their Relevant Anti-Doping Organisation and using their National Anti-Doping Organisation or other Sample collection authority to collect Samples in compliance with the International Standard for Testing and Investigations;
- (b) recognising the authority of the National Anti-Doping Organisation in their country in accordance with Article 5.2.1 of the Code and assisting as appropriate with the National Anti-Doping Organisation's implementation of the national Testing programme for their sport;
- (c) analysing all Samples collected using a WADA-accredited or WADA-approved laboratory in accordance with Rule 6.1; and
- (d) ensuring that any anti-doping rule violation cases they discover or are required to process under these Anti-Doping Rules are adjudicated by an Operationally Independent hearing panel in accordance with Rule 8 and the International Standard for Results Management.

15.9.2 In furtherance of its power under Rule 15.8.3, the Integrity Unit may require a Member Federation to produce any record, supply any information, compile any report or data and answer any questions as the Integrity Unit considers to be necessary from time to time and to do so within a reasonable time. The Integrity Unit may prioritise its compliance monitoring in particular areas and/or in particular countries.

15.9.3 If the Integrity Unit Board considers that a Member Federation is in breach of this Rule 15, it may:

- (a) give the Member Federation the opportunity to address the non-compliance within a specified timeframe and so achieve compliance; or
  - (b) issue the Member Federation with a notice of charge in relation to an alleged breach of these Anti-Doping Rules and give the Member Federation a reasonable period of time in which to respond to the notice. After consideration of any response, the Integrity Unit Board may refer the matter to Council to be dealt with in accordance with Rule 16, together with such recommendation(s) as the Integrity Unit Board sees fit.
- 15.9.4 If a Member Federation fails to address its non-compliance when given the opportunity to do so in accordance with Rule 15.9.3(a), the Integrity Unit Board may refer the matter to the Council in accordance with Rule 16.
- 15.9.5 In the interests of transparency and accountability, the Integrity Unit may publish as much detail as it considers appropriate about its general compliance monitoring programme.

## **16. Sanctions Against Member Federations**

- 16.1 Where any of the following circumstances apply, the Council shall have authority to impose one or more of the sanctions referred to in Rule 16.2 on any Member Federation and to do so subject to any conditions it sees fit:
- 16.1.1 the Integrity Unit Board refers a Member Federation's breach of its obligations to the Council under Rule 15.9.3;
  - 16.1.2 three or more Anti-Doping Rule Violations have been committed by International-Level Athletes, Athlete Support Personnel or other Persons from a Member Federation within a period of 1 year starting from the date of the first Anti-Doping Rule Violation and, having regard to the number and seriousness of the violations, the number of Athletes competing internationally from the Member Federation and the relevant time frame concerned, the Council is of the opinion, in its entire discretion, that the Member Federation has thereby brought World Athletics into disrepute.
- 16.2 In accordance with Rule 16.1, the Council may impose the following sanctions:
- 16.2.1 provisionally suspend the Member Federation:
    - (a) for a fixed period provided that the period is no longer than the period to the next Ordinary Congress meeting; or
    - (b) an indefinite period dependent on the satisfaction of terms and conditions imposed by Council, provided that the period is no longer than the period to the next Ordinary Congress meeting;
  - 16.2.2 set specific terms or conditions to be met or steps to be undertaken to the satisfaction of Council