

## **15. NATIONAL FEDERATION ANTI-DOPING OBLIGATIONS**

### **15.1 Introduction**

- 15.1.1 The IAAF has a responsibility under the Code to require that the policies, rules and programmes of its National Federations are in compliance with the Code and to take appropriate action to discourage non-compliance.
- 15.1.2 This Article 15 establishes a framework of National Federation anti-doping obligations that is designed to ensure that National Federations have rules and policies that are compliant with the Code but also that National Federations take ultimate responsibility for the delivery of strong and effective anti-doping programmes in Athletics in their respective jurisdictions. The Integrity Unit shall monitor the compliance of National Federations with their obligations under this Article and shall either work with non-compliant National Federations to ensure that they become compliant or shall refer non-compliant National Federations to the IAAF Council for sanction. The ultimate objective is to ensure that strong, compliant anti-doping programmes are being applied and enforced in Athletics consistently and effectively so that clean Athletes can have confidence that there is a fair competition on a level playing field and that public confidence in the integrity of Athletics can be maintained.

### **15.2 General**

- 15.2.1 It is a condition of membership of the IAAF that each National Federation shall comply with these Anti-Doping Rules. The obligation to comply is an absolute one such that it is not necessary to prove fault or intent on the part of a National Federation to establish a breach of these Anti-Doping Rules.
- 15.2.2 National Federations shall take all necessary measures within their powers to implement and comply with these Anti-Doping Rules.
- 15.2.3 Without limitation to the above, it is acknowledged that, in some jurisdictions, certain anti-doping functions may be delegated or assigned to a Relevant Anti-Doping Organisation, whether voluntarily by the National Federation or as a matter of applicable national legislation or regulation. In such cases, a National Federation may achieve compliance with these Anti-Doping Rules through the actions of the Relevant Anti-Doping Organisation but, if the Relevant Anti-Doping Organisation fails to meet the requirements of the National Federation under these Anti-Doping Rules, the National Federation will be held to be in breach.
- 15.2.4 For the avoidance of doubt, where a National Federation is held to be in breach of these Rules, it shall be no defence that:
- (a) its obligations have been delegated or assigned to a Relevant Anti-Doping Organisation whether voluntarily by the National Federation or as a matter of applicable national legislation or regulation;
  - (b) the breach has been caused by interference by, and/or a failure to provide support or other act or omission by, any governmental or other public authorities.

- 15.2.5 For the purposes of these Rules, a National Federation shall be liable and deemed responsible for the acts and omissions of its servants, agents, employees, directors or officials (and for the acts and omissions of any servants, agents, employees, directors or officials of the Relevant Anti-Doping Organisation to which its obligations under these Anti-Doping Rules have been delegated or assigned).

### **15.3 Categorisation of National Federations**

- 15.3.1 For the purposes of this Article 15, National Federations shall be categorized according to their doping risk to the sport in descending order, categories A, B and C (category A National Federations having the highest doping risk to the sport and category 'C' National Federations having the lowest doping risk to the sport).
- 15.3.2 The specific obligations of a National Federation as set out in this Article 15 will be determined by its assigned category. Certain obligations will apply to all National Federations whilst others will apply depending on the category in which the National Federation is placed.
- 15.3.3 Prior to the commencement of each year, the Integrity Unit Board shall determine in its absolute discretion the category of each National Federation by taking into account the following factors:
- (a) the doping history of Athletes, Athlete Support Personnel and other Persons under the jurisdiction of the National Federation;
  - (b) confidential intelligence or other information provided by the Integrity Unit;
  - (c) the success of the National Federation in International Competitions or in particular International Competitions, whether as a whole or in particular disciplines;
  - (d) any significant improvement in the performance of the National Federation's Athletes at any level of competition;
  - (e) the number of athletes representing the National Federation in International Competitions or in particular International Competitions;
  - (f) compliance by the National Federation with this Article 15;
  - (g) any other matter the Integrity Unit in its absolute discretion thinks fit.
- 15.3.4 In respect of a determination of the Integrity Unit Board under Article 15.3.3:
- (a) the Integrity Unit Board may adopt such process for making a determination as it deems fit;
  - (b) the Integrity Unit Board is not required to give reasons for its determination;
  - (c) the Integrity Unit Board is not required to disclose any confidential intelligence or other information that formed part of its decision making;
  - (d) the determination is not subject to appeal or review.

- 15.3.5 In the event that a National Federation's category is changed from one year to the next taking into consideration the factors in Article 15.3.3, the Integrity Unit Board may, in its absolute discretion, stay the effect of its determination, or any part of it, for such period and upon such conditions as it deems appropriate to permit the orderly transition of the National Federation to compliance with the new requirements.
- 15.3.6 In exceptional cases (including without limitation new intelligence or information becoming available), the Integrity Unit Board may change a National Federation's assigned category from Category 'B' to Category 'A' during the course of the year. In such cases, the Integrity Unit Board shall impose on the National Federation for that year such of the specific obligations for Category 'A' National Federations in Article 15.5 as it deems to be appropriate (in the same or modified form) and upon such notice as it considers to be reasonable in the circumstances.

#### **15.4 General Obligations Applying to All National Federations**

##### **15.4.1 General Conduct of National Federations**

A National Federation shall be held to be in breach of the Anti-Doping Rules if either it or the Relevant Anti-Doping Organisation:

- (a) engages in conduct in relation to or associated with doping in Athletics or the implementation or administration of these Anti-Doping Rules that is likely to prejudice the interests of the IAAF or bring the sport of Athletics into disrepute;
- (b) engages in any dishonest, corrupt, fraudulent or improper act or practice in relation to doping in Athletics, or the administration or implementation of these Anti-Doping Rules;
- (c) conducts itself negligently or recklessly in response to any risk of doping in Athletics in its jurisdiction;
- (d) obstructs or frustrates anti-doping processes in Athletics from occurring;
- (e) obstructs, hinders or delays an investigation conducted by the Integrity Unit pursuant to these Anti-Doping Rules (e.g., by providing false, misleading or incomplete information or documentation, by tampering with or destroying any documentation or other information that may be relevant to the investigation, or by interfering with or taking reprisals against any witness to an investigation).

##### **15.4.2 Adoption of compliant rules and regulations**

- (a) National Federations shall incorporate these Anti-Doping Rules either directly or by reference into their rules, or shall establish rules the same as these Anti-Doping Rules, so that the National Federation may enforce these Anti-Doping Rules directly against all Athletes, Athlete Support Personnel and other Persons under its jurisdiction.
- (b) National Federations shall require in their rules that all Athletes and each Athlete Support Person and other Person who participates as coach, trainer, manager, team staff, official, medical or paramedical personnel in a Competition or activity

authorised or organised by a National Federation or one of its member organisations agree to be bound by these Anti-Doping Rules and to submit to the results management authority of the Anti-Doping Organisation responsible under these Anti-Doping Rules as a condition of such participation.

- (c) National Federations shall have disciplinary rules in place to prevent Athlete Support Personnel who are Using Prohibited Substances or Prohibited Methods without valid justification from providing support to Athletes under their jurisdiction.
- (d) National Federations shall include in their rules specific provisions to ensure that the IAAF may through the Integrity Unit apply these Anti-Doping Rules directly as against all Athletes, Athlete Support Personnel and other Persons under their jurisdiction, including the servants, agents, employees, directors and officials of the National Federation.

#### 15.4.3 Mechanism for Reporting Doping

A National Federation shall be held to be in breach of the Anti-Doping Rules if either it or the Relevant Anti-Doping Organisation for its jurisdiction fails to:

- (a) take reasonable steps to promote actively an open environment that encourages Athletes, Athlete Support Personnel and other whistle-blowers to report on doping and other non-compliant situations (including the intimidation of or threats to Athletes or DCOs by Athlete Support Personnel);
- (b) put in place effective mechanisms (e.g., hotlines, email addresses for the provision of tip-offs) to enable doping and other non-compliant situations to be reported directly to a designated individual or entity at national or regional level whose function is to assist the person reporting the information and/or to the Integrity Unit and/or to WADA.

#### 15.4.4 Obligation to pursue and report all apparent Anti-Doping Rule Violations

A National Federation shall be held to be in breach of the Anti-Doping Rules if either it or the Relevant Anti-Doping Organisation for its jurisdiction fails to:

- (a) pursue in a proper and timely fashion (including the timely notification of all such cases to the Integrity Unit) all apparent Anti-Doping Rule Violations committed by their Athletes, Athlete Support Personnel and other Persons;
- (b) report to the Integrity Unit on a timely basis any information in its possession, suggesting or relating in any way to an apparent Anti-Doping Rule Violation by an Athlete, Athlete Support Person or other Person under its jurisdiction. Thereafter, the National Federation or Relevant National Anti-Doping Organisation must cooperate and assist fully with the Integrity Unit in the investigation of that information, including (without limitation) reporting any further information received on the same or any related subject;
- (c) investigate a possible violation of these Anti-Doping Rules by one or more Athlete, Athlete Support Person or other Person under the National Federation's jurisdiction

(where appropriate, acting in conjunction with any other relevant national authority or body) when requested by the Integrity Unit to do so and provide a written report on such investigation within a reasonable time period as stipulated by the Integrity Unit.

15.4.5 Reporting and Results Management obligations

A National Federation shall be held to be in breach of these Anti-Doping Rules if either it or the Relevant National Anti-Doping Organisation for its jurisdiction fails:

- (a) to notify the Integrity Unit in writing of all relevant results management activities in accordance with these Anti-Doping Rules;
- (b) to notify the Integrity Unit promptly, and in all circumstances, within 14 days, of any Adverse Analytical Finding or Atypical Finding obtained in the course of Testing, together with the name of the Athlete concerned and all documents relevant to the Adverse Analytical Finding or Atypical Finding in question;
- (c) to notify the Integrity Unit promptly of any other Anti-Doping Rule Violation asserted against an Athlete, Athlete Support Person or other Person;
- (d) in the case of proceedings other than before the Disciplinary Tribunal, to conduct a hearing process in accordance with Article 8.10 for any Person who is asserted to have committed an Anti-Doping Rule Violation. The hearing process shall provide at a minimum for: a fair hearing within a reasonable time; before a fair and impartial hearing panel; with a timely, written reasoned decision; and (for cases other than those under Article 13.2.2) a right of appeal to an independent and impartial body in accordance with rules established by the National Federation and/or the Relevant Anti-Doping Organisation;
- (e) to notify the Integrity Unit in writing within 5 working days of any decision taken under these Anti-Doping Rules that is subject to an appeal in accordance with Article 13 (and to provide the Integrity Unit with a copy of the written reasons for the decision in English or French and a copy of the complete file upon request);
- (f) to notify the Integrity Unit within 5 days of the commencement of any appeal (including to CAS) to which the National Federation and/or an Athlete, Athlete Support Person or other Person is a party that arises from a decision taken within its jurisdiction. At the time of notification, the National Federation or Relevant National Anti-Doping Organisation shall ensure that the Integrity Unit receives a copy of the statement of appeal in the case;
- (g) to respect fully decisions taken under these Anti-Doping Rules in respect of Athletes, Athlete Support Personnel and other Persons and to give assistance to the Integrity Unit to enforce such decisions where necessary;
- (h) to ensure that any medals forfeited by Athletes due to the Disqualification of their results are delivered to the IAAF within 30 days of receipt of the final appellate decision on Disqualification or the expiry of the time limit to appeal.

15.4.6 Personnel related to Anti-Doping

- (a) Each National Federation shall take all reasonable measures when engaging or funding Athlete Support Personnel or other Persons to work with Athletes to ensure that such persons are of good character and repute and that the risk of doping in relation to their engagement is minimised.
- (b) National Federations shall keep and maintain a complete, accurate and up to date register of Athlete Support Personnel and other Persons that they engage or fund to work with Athletes.
- (c) The register of Athlete Support Personnel and other Persons referred to in Article 15.4.6(b) shall be maintained in such form and shall contain such details as required by the Integrity Unit from time to time. The register must be made available for inspection by the Integrity Unit upon request.
- (d) Where any person contracted to a National Federation (whether as an employee or a consultant, agent or adviser), holding an office or directorship with a National Federation or sitting on a National Federation committee or commission is found to have committed an Anti-Doping Rule Violation, the National Federation shall terminate its relationship with that person immediately, unless otherwise required by law or agreed with the Integrity Unit.

15.4.7 Medical treatment and supplementation

- (a) National Federations shall keep and maintain complete, accurate and up to date records of all relevant treatments (as defined in Article 15.4.7(b)) that have been administered or dispensed to Athletes under the jurisdiction of the National Federation either by:
  - (i) the National Federation itself, or by one of the National Federation's office-holders, employees, servants, agents, consultants or advisers; or
  - (ii) another person on behalf of the National Federation; or
  - (iii) a person authorised by the National Federation to do so; or
  - (iv) a person funded (in cash or in kind) by the National Federation to do so.
- (b) For the purposes of Article 15.4.7(a), relevant treatments are all medications, drugs, therapeutic substances and performance supplements administered or dispensed to Athletes.
- (c) The records referred to in Article 15.4.7(a) shall include full and proper details of any relevant treatment administered or dispensed to an Athlete including without limitation:
  - (i) the reason for treatment of the Athlete and the dates, places and times the treatment was administered or dispensed;
  - (ii) the names of Person(s) administering or dispensing the treatment;

- (iii) the names of the Athlete who was treated;
  - (iv) the method of administration to the Athlete;
  - (v) the dosages administered or dispensed to the Athlete;
  - (vi) the instructions provided when dispensing the treatment.
- (d) Without limiting any other part of these Rules, upon request of the Integrity Unit for good cause made in accordance with these Rules, a National Federation shall produce the records required to be maintained under this Article 15.4.7 for inspection.
- (e) For the avoidance of doubt, it is the responsibility of the National Federation to ensure that its employees, service providers and consultants maintain and provide the records necessary for compliance with this Article 15.4.7.
- (f) In addition to the other requirements of this Article 15.4.7, National Federations shall provide the Integrity Unit upon request with a complete, accurate and up to date list of all medications, drugs, therapeutic substances and performance supplements that they intend to import into a country for the purposes of treating their National Team at any IAAF World Athletics Series competition and shall explain, if requested, the reason for such medications or supplements and for which Athletes they are intended.
- (g) Compliance with this Article is subject to any Personal Information being processed in accordance with the International Standard for the Protection of Privacy and Personal Information and in accordance with applicable data protection laws.

#### 15.4.8 Therapeutic Use Exemptions

A National Federation shall be held to be in breach of these Anti-Doping Rules if either it or the Relevant Anti-Doping Organisation for its jurisdiction fails:

- (a) to put in place a TUE Committee and a documented process for national-level Athletes to apply for the grant of a TUE in accordance with the requirements of the International Standard for Therapeutic Use Exemptions;
- (b) to report promptly to the IAAF and WADA any TUEs that are granted to national-level Athletes (and to ensure the timely entry of TUE decisions on ADAMS).

### **15.5 Specific Obligations for Category ‘A’ National Federations**

#### 15.5.1 Testing

- (a) A Category ‘A’ National Federation shall be held to be in breach of these Anti-Doping Rules if there is not an effective, intelligent and proportionate annual Testing plan maintained and implemented in Athletics within its jurisdiction that complies with the International Standard for Testing and Investigations and the requirements of Article 15.5.1(b) (‘Testing Plan’).

- (b) The Testing Plan must:
- (i) have the objective of ensuring that all Athletes in the pool from which a National Team is likely to be selected for the IAAF World Championships or Olympic Games, and who are not already on the International Registered Testing Pool, are adequately tested in accordance with the requirements of this Article;
  - (ii) be in place, properly documented (including the names of all the Athletes in the pool and applicable test distribution details) and notified to the Integrity Unit by no later than 1 January each year and thereafter kept up-to-date;
  - (iii) include in-competition testing, no notice out-of-competition testing and pre-competition blood testing for screening purposes (Athlete Biological Passport) and analyses as prescribed under WADA's Technical Document on Sport-Specific Analyses (TDSSA);
  - (iv) provide for all samples to be analysed by WADA-accredited (or WADA-approved) laboratories for full menu analysis (or, in the case of ABP samples, for full ABP analysis) and the results must be reported continuously to the Integrity Unit and WADA in accordance with the requirements of the International Standard for Laboratories. Relevant doping control forms must be recorded on ADAMS and copies made available to the Integrity Unit upon request;
  - (v) provide for co-operation and co-ordination between any Relevant National Anti-Doping Organisation and the Integrity Unit in accordance with Article 4.9 of the WADA International Standard for Testing and Investigations to further the objective set out in Article 15.5.1(b);
  - (vi) provide for reporting against the performance of the Testing Plan on the request of the Integrity Unit, in a form and manner as required by the Integrity Unit.
- (c) Unless otherwise approved by the Integrity Unit in exceptional circumstances, no Athlete may participate as part of a National Team of a Category 'A' National Federation in the IAAF World Championships or Olympic Games unless, in the 10 months prior to the competition, he or she has undergone at least three no notice out-of-competition tests (urine and blood) including (if he or she competes in any of a middle distance event from 800m upwards, a long distance event, a combined event or a race walk event) at least one Athlete Biological Passport test and one EPO test. All such tests are to be conducted no less than 3 weeks apart and the results recorded in ADAMS. Category 'A' National Federations shall ensure that all Athletes to whom this requirement may be relevant receive effective written notice of it by no later than 1 January of each year.
- (d) The Integrity Unit has responsibility to ensure that the testing requirements of Article 15.5.1(b)(vi) are fulfilled for Category 'A' Athletes in the International Registered Testing Pool and it is the responsibility of Category 'A' National



Federations (or a Relevant Anti-Doping Organisation on behalf of the National Federation) to ensure that these requirements are fulfilled for all other Athletes.

15.5.2 Whereabouts

- (a) Without limiting any other Rule, and in particular the personal obligations of Athletes under Article 5.7, Category ‘A’ National Federations must take all necessary steps to ensure that Athletes in the International Registered Testing Pool maintain detailed, complete, accurate and up-to-date whereabouts information as required by these Anti-Doping Rules and the IAAF Anti-Doping Regulations.
- (b) In determining whether a Category ‘A’ National Federation has complied with Article 15.5.2(a), it is deemed to be the responsibility of Category ‘A’ National Federations to ensure and monitor that its Athletes in the International Registered Testing Pool are properly educated about, aware of and compliant with the whereabouts requirements set out in the IAAF Anti-Doping Rules and Regulations.

15.5.3 Anti-Doping Education

- (a) A Category ‘A’ National Federation shall ensure that every Athlete in a National Team for a IAAF World Athletics Series competition and all Athlete Support Personnel under its jurisdiction participating in such a competition are subject to mandatory anti-doping education programmes that provide updated and accurate information on at least the following issues: substances and methods on the Prohibited List, Anti-Doping Rule Violations, Consequences of doping, including sanctions, health and social consequences, doping control procedures, Athletes and Athletes Support Personnel’s rights and responsibilities, TUEs, managing the risks of nutritional supplements, harm of doping to the spirit of sport and whereabouts requirements.
- (b) Category ‘A’ National Federations shall be required to co-operate with the Integrity Unit with regards to the development and implementation of anti-doping education programmes.

15.5.4 Anti-Doping Governance Committee

- (a) Each Category ‘A’ National Federation shall establish a suitably qualified and experienced Anti-Doping Monitoring Committee to oversee and ensure the organisation’s compliance with the requirements of this Article 15. The composition of the Anti-Doping Monitoring Committee is at the discretion of the National Federation and may include both internal and independent members.
- (b) Each Category ‘A’ National Federation shall, upon request, provide the Integrity Unit with details of the names, backgrounds and responsibilities of each person sitting on the Anti-Doping Monitoring Committee, the terms of reference of such committee and keep such information up-to-date.
- (c) Each Category ‘A’ National Federation shall appoint a dedicated employee to act as the point of contact between the Integrity Unit and the National Federation's Anti-Doping Monitoring Committee and it shall notify the name and contact details of the appointed person to the Integrity Unit.

15.5.5 Other Specific Obligations

In addition to the specific obligations set out in Article 15.5 above, the Council, upon recommendation of the Integrity Unit Board, may impose such other obligations on a Category 'A' National Federation as it deems fit and for such period as it deems to be reasonable and appropriate having regard to the specific circumstances of the National Federation or Relevant Anti-Doping Organisation's anti-doping program and/or the anti-doping situation in the National Federation's country.

**15.6 Specific Obligations for Category 'B' National Federations**

15.6.1 Testing

- (a) Category 'B' National Federations shall ensure that, in relation to the pool of athletes from which the National Team for any IAAF World Championships or Olympic Games is likely to be selected, there is an effective, intelligent and proportionate Testing plan maintained and implemented at national level that complies with the International Standard for Testing and Investigations and the requirements of Article 15.6.1(b) (**'Testing Plan'**).
- (b) The Testing Plan must:
  - (i) have the objective of ensuring that athletes selected by a National Federation for any IAAF World Championships or Olympic Games, and who are not already on the International Registered Testing Pool, are adequately tested prior to the relevant competition in accordance with the requirements of this Article;
  - (ii) be in place, properly documented (including the names of all the athletes in the pool and applicable test distribution details) and notified to the Integrity Unit no later than 9 months prior to the relevant competition and thereafter kept up-to-date;
  - (iii) include in-competition Testing, no notice out-of-competition Testing and pre-competition blood Testing for screening purposes (Athlete Biological Passport) and analyses as prescribed under WADA's Technical Document on Sport-Specific Analyses (TDSSA);
  - (iv) provide for all samples to be analysed by WADA-accredited (or WADA-approved) laboratories for full menu analysis (or, in the case of ABP samples, for full ABP analysis);
  - (v) provide for the results of tests to be recorded in a timely manner on ADAMS or otherwise to be notified in a form agreed by the Integrity Unit;
  - (vi) provide for co-operation and co-ordination between any Relevant Anti-Doping Organisation and the Integrity Unit in accordance with Article 4.9 of the WADA International Standard for Testing and Investigations to further the objective set out in Article 15.6.1(b)(i);

- (vii) provide for reporting against the performance of the Testing Plan on the request of the Integrity Unit.
- (c) For the purposes of Article 15.6.1(a), each National Federation shall ensure as a minimum that it:
  - (i) communicates with the Relevant Anti-Doping Organisation about the National Federation's obligations under this Article 15.6.1;
  - (ii) requests and advocates for the support of the Relevant Anti-Doping Organisation to maintain and implement the Testing Plan;
  - (iii) if required, requests and advocates for funding to maintain and implement the Testing Plan;
  - (iv) engages with any Relevant Anti-Doping Organisation and the Integrity Unit from the earliest practicable time to provide information about the pool of athletes from which the National Team for any IAAF World Championships or Olympic Games is likely to be selected in order to develop an appropriate Testing Plan;
  - (v) keeps up to date the information referred to in Article 15.6.1(c)(iv) throughout the duration of the Testing Plan;
  - (vi) facilitates, requests and advocates for co-operation and co-ordination between any Relevant Anti-Doping Organisation and the Integrity Unit.

#### 15.6.2 Anti-Doping Oversight Function

- (a) Each Category 'B' National Federation shall have sufficient resources within its governance and/or management structure to oversee and ensure the organisation's compliance with the requirements of this Article 15. Each Category 'B' National Federation shall appoint one person as the primary contact for the Integrity Unit who shall have the requisite authority to represent the National Federation.
- (b) Each Category 'B' National Federation shall, upon request, provide the Integrity Unit with details of the names, backgrounds and responsibilities of each person appointed to oversee and ensure the organisation's compliance with the requirements of this Article 15 and shall keep such information up-to-date.

#### 15.6.3 Anti-Doping Education

- (a) A Category 'B' National Federation shall use its best endeavours to ensure that every Athlete in a National Team for a IAAF World Athletics Series competition and all Athlete Support Personnel under its jurisdiction participating in such a competition are subject to mandatory anti-doping education programmes that provide updated and accurate information on at least the following issues: substances and methods on the Prohibited List, Anti-Doping Rule Violations, Consequences of doping, including sanctions, health and social consequences, doping control procedures, Athletes and Athletes Support Personnel's rights and

responsibilities, TUEs, managing the risks of nutritional supplements, harm of doping to the spirit of sport and whereabouts requirements.

- (b) Category 'B' National Federations shall co-operate with the Integrity Unit with regards to the development and implementation of anti-doping education programs.

#### 15.6.4 Other Specific Obligations

In addition to the specific obligations set out in Article 15.6 above, the Council, upon recommendation of the Integrity Unit Board, may impose such other obligations on a Category 'B' National Federation as it deems fit and for such period as it deems to be reasonable and appropriate having regard to the specific circumstances of the National Federation's anti-doping program and/or the anti-doping situation in the National Federation's jurisdiction.

### **15.7 Specific Obligations for Category 'C' National Federations**

#### 15.7.1 Testing

- (a) Category 'C' National Federations shall take all reasonable steps to ensure that Athletes selected in the National Team for any IAAF World Championships or Olympic Games are subject to Testing prior to the Competition.
- (b) Each Category 'C' National Federation shall report on an annual basis in a form and manner as determined by the Integrity Unit, all Testing conducted at national level. The annual report shall include relevant details as determined by the Integrity Unit from time to time.

#### 15.7.2 Anti-Doping Education

- (a) A Category 'C' National Federation shall use its best endeavours to ensure that every Athlete in a National Team for a IAAF World Athletics Series competition and all Athlete Support Personnel under its jurisdiction participating in such a competition are subject to mandatory anti-doping education programmes that provide updated and accurate information about the substances and methods on the Prohibited List, Anti-Doping Rule Violations and the Consequences of doping, including sanctions.
- (b) Category 'C' National Federations shall to co-operate with the Integrity Unit with regards to the development and implementation of anti-doping education programs.

### **15.8 Investigations by the Integrity Unit**

- 15.8.1 The Integrity Unit shall have the power to conduct investigations into matters that it believes may evidence or lead to the discovery of evidence of an Anti-Doping Rule Violation or a breach of this Article 15 by a National Federation. Such investigations may be conducted in conjunction with, and/or information obtained in such investigations may be shared with, other Signatories and/or relevant authorities or other bodies. The Integrity Unit will have discretion, where it deems it appropriate, to stay its own investigation pending the outcome of investigations being conducted by other Signatories and/or relevant authorities or other bodies. The mere existence of another investigation does not

entitle the subject of an investigation to seek a stay of the investigation being carried out by the Integrity Unit.

- 15.8.2 All National Federations must co-operate and assist fully with any investigation conducted by the Integrity Unit pursuant to this Article 15 or any other of these Anti-Doping Rules and a refusal or failure to do so without compelling justification shall be considered a serious breach of its obligations under these Anti-Doping Rules.
- 15.8.3 The Integrity Unit may make a written demand (Demand) to a National Federation to provide the Integrity Unit with any information, record, article, or thing in its possession or control that the Head of the Integrity Unit reasonably believes may evidence or lead to the discovery of evidence of an Anti-Doping Rule Violation or a breach of this Article 15 by a National Federation.
- 15.8.4 Without limiting the foregoing, pursuant to Article 15.8.3 the Integrity Unit may require a National Federation to:
- (a) procure the attendance and co-operation of any of its office holders, employees, servants or agents before the Integrity Unit for an interview, or to answer any question, or to provide a written statement setting out his or her knowledge of any relevant facts and circumstances;
  - (b) provide (or procure to the best of its ability the provision by any third party) for inspection, copying and/or downloading any records or files in hardcopy or electronic format, that the Head of the Integrity Unit believes in good faith may contain relevant information (such as itemised telephone bills, bank statements, ledgers, notes, files, correspondence, emails, messages, servers);
  - (c) provide (or procure to the best of its ability the provision by any third party) for inspection, copying and/or downloading any electronic storage device in which the Head of the Integrity Unit believes in good faith relevant information may be stored (such as cloud-based servers, computers, hard drives, tapes, disks, mobile telephones, laptop computers, tablets and other mobile storage devices);
  - (d) provide full and unlimited access to the National Federation's premises for the purpose of securing information, records, articles or things the subject of a Demand;
  - (e) provide passwords, login credentials and other identifying information required to access electronically stored records that are the subject of a Demand;
  - (f) procure the full co-operation of its office holders, employees, servants, agents, consultants and contractors in responding to the Demand.
- 15.8.5 Subject to Article 15.8.6, a National Federation must comply with a Demand in such reasonable period of time as determined by the Integrity Unit and set out in the Demand. Each National Federation (and each of its office-holders, employees, servants, agents, consultants or advisers) waives and forfeits any rights, defences and privileges provided by any law in any jurisdiction to withhold any information, record, article, or thing requested in a Demand.

- 15.8.6 Where a Demand relates to any information, record, article or thing that in the opinion of the Head of the Integrity Unit is capable of being damaged, altered, destroyed or hidden (any electronic storage device, or electronically stored information shall be deemed to meet this criteria), then for the purposes of evidence preservation, the Integrity Unit may require a National Federation to comply immediately with the Demand. In such a case:
- (a) the National Federation must immediately comply with the Demand and permit the Integrity Unit to take immediate possession of, copy and/or download the information, record, article or thing, however, the Integrity Unit may not immediately inspect the same;
  - (b) a National Federation has 7 days from receipt of the Demand to file an objection to the Demand by requesting a review by the chairperson of the Disciplinary Tribunal (or his delegate) in accordance with Article 15.8.7;
  - (c) if a National Federation does not file an objection within 7 days of receipt of the Demand, or notifies the Integrity Unit that it does not object to the Demand, or the Disciplinary Tribunal rules that the Demand is valid, the Integrity Unit may forthwith inspect the information, record, article or thing and otherwise make use of it in accordance with this Article;
  - (d) if the Disciplinary Tribunal rules the Demand to be invalid, the information, record, article or thing and any copy or download of same shall either be immediately returned or destroyed as the case requires;
  - (e) in all cases under this Rule, the requirement of Article 15.8.6(a) for a National Federation to immediately comply with a Demand is paramount and a failure to do so shall be deemed to be a serious breach of Article 15.8.1 incapable of remedy.
- 15.8.7 A National Federation may object to a Demand on the basis that it does not comply with the requirements of Article 15.8.3 or 15.8.4 by application to the chairperson of the Disciplinary Tribunal within 7 days of receiving the Demand. Where such an application is made, subject always to Article 15.8.6, the time for complying with a Demand shall be stayed pending the outcome of the objection. The chairperson of the Disciplinary Tribunal or his delegate shall hear such an objection with as much expediency as the justice of the case permits and, unless exceptional circumstances apply, such hearing shall be by way of written evidence and submissions. The ruling of the Disciplinary Tribunal as to the validity of the Demand shall not be subject to appeal. If a Demand is set aside, it shall not preclude the making of any other valid Demand in relation to the same investigation.
- 15.8.8 If a National Federation or Area Association fails to comply with a Demand that has not been ruled invalid under Article 15.8.7, it shall constitute a serious breach of Article 15.8.2 and be sanctioned with the highest degree of fault.
- 15.8.9 Any information, record, article or thing provided to the Integrity Unit under this Article 15.8 will be kept confidential except where it is disclosed for the purpose of furthering the investigation or as part of proceedings relating to a possible Anti-Doping Rule Violation or breach of any of the IAAF Rules, or when such information, record, article or thing is reported to administrative, professional or judicial authorities pursuant to an

investigation or prosecution of non-sporting laws or regulations, or is otherwise required by law.

- 15.8.10 If a National Federation obstructs or delays an investigation (e.g., by providing false, misleading or incomplete information or documentation and/or by tampering or destroying any documentation or other information that may be relevant to the investigation), this may itself constitute an independent serious breach of these Anti-Doping Rules.

### **15.9 Monitoring and Referral to Council by the Integrity Unit**

- 15.9.1 The Integrity Unit shall have the power to monitor the anti-doping processes, practices and activities (Anti-Doping Programs) of National Federations to assist in the proper categorization of National Federations under Article 15.3 and to ensure the compliance of National Federations with their obligations under this Article 15.
- 15.9.2 In furtherance of its power under Article 15.8.3, the Integrity Unit may require a National Federation to produce any record, supply any information, compile any report or data and answer any questions as the Integrity Unit considers to be necessary from time to time and to do so within a reasonable time. The Integrity Unit may prioritise its compliance monitoring in particular areas and/or in particular countries.
- 15.9.3 If the Integrity Unit Board considers that a National Federation is in breach of this Article 15, it may:
- (a) give the National Federation the opportunity to address the non-compliance within a specified timeframe and so achieve compliance; or
  - (b) issue the National Federation with a notice of charge in relation to an alleged breach of these Anti-Doping Rules and give the National Federation a reasonable period of time in which to respond to the notice. After consideration of any response, the Integrity Unit Board may refer the matter to Council to be dealt with in accordance with Article 16, together with such recommendation(s) as the Integrity Unit Board sees fit.
- 15.9.4 If a National Federation fails to address its non-compliance when given the opportunity to do so in accordance with Article 15.9.3(a), the Integrity Unit Board may refer the matter to the Council in accordance with Article 15.9.3(b).
- 15.9.5 In the interests of transparency and accountability, the Integrity Unit may publish as much detail as it considers appropriate about its general compliance monitoring program.

## **16. SANCTIONS AGAINST NATIONAL FEDERATIONS**

- 16.1 Where any of the following circumstances apply, the Council shall have authority to impose one or more of the sanctions referred to in Article 16.2 on any National Federation and to do so subject to any conditions it sees fit:
- (a) a National Federation is in breach of its obligations under Article 15 of these Anti-Doping Rules;

- (b) three or more Anti-Doping Rule Violations have been committed by International-Level Athletes, Athlete Support Personnel or other Persons from a National Federation within a period of 1 year starting from the date of the first Anti-Doping Rule Violation and, having regard to the number and seriousness of the violations, the number of Athletes competing internationally from the National Federation and the relevant time frame concerned, the Council is of the opinion, in its entire discretion, that the National Federation has thereby brought the IAAF into disrepute.

16.2 In accordance with Article 16.1, the Council may:

- (a) suspend the National Federation until the next meeting of the Congress or for any shorter period;
- (b) provisionally suspend a National Federation pending a hearing;
- (c) caution or censure the National Federation;
- (d) issue fines against the National Federation;
- (e) withhold grants or subsidies from the National Federation;
- (f) exclude the National Federation's Athletes from any one or more International Competition;
- (g) limit the number of the National Federation's Athletes, Athlete Support Personnel and other Persons permitted to compete in any one or more International Competition;
- (h) remove or deny accreditation to the National Federation's officers or other representatives;
- (i) impose any other sanction it may deem to be appropriate.

16.3 In imposing sanctions in accordance with Article 16.2 above, the Council shall have regard to the nature and seriousness of the National Federation's non-compliance taking into account both the degree of fault of the National Federation and the potential impact of its non-compliance on clean sport in Athletics. In terms of degree of fault, the obligation of a National Federation to comply under these Rules is absolute, and so any alleged lack of intent or other fault is not relevant in establishing breach, but the level of fault or negligence on the part of the National Federation may be taken into consideration in determining the sanction imposed. In particular, if a National Federation's obligations have been delegated or assigned to a Relevant Anti-Doping Organisation, it shall be a relevant consideration whether the National Federation has actively and continuously monitored the Relevant Anti-Doping Organisation's activities and where necessary, taken reasonable steps to ensure compliance with these Anti-Doping Rules. Above all else, the sanction imposed should be sufficient to maintain the confidence of all Athletes, and the public at large, in the commitment of the IAAF and the Integrity Unit to do what is necessary to defend the integrity of the sport of Athletics against the scourge of doping.



- 16.4 All fines under Article 16.2(d) shall be paid within a time to be determined by the Council, failing which the National Federation's Athletes, Athlete Support Personnel and other Persons may be excluded from International Competition until the fine is settled in full.
- 16.5 In any case where the Council has issued a sanction against a National Federation under these Anti-Doping Rules, such a decision shall be published on the Integrity Unit and IAAF websites and reported to the next Congress.

### **Definitions**

**“Relevant Anti-Doping Organisation”** in respect of a National Federation, means any one or more organisation, authority, body or entity operating in the region or country of the National Federation that is responsible or has the authority within that region or country for anti-doping in the sport of Athletics or for any matter connected with the requirements of this Article or is otherwise responsible for discharging any of the National Federation's obligations under these Anti-Doping Rules.

**“National Team”** for the purposes of Article 15 means the Athletes entered by a National Federation or a National Olympic Committee as applicable to compete at the specified competition.

**“National Federation”** means the Member of the IAAF to which an Athlete or other Person is affiliated directly or through a club or another body affiliated to a Member. It has the same meaning as "Member" as described in the IAAF Constitution.