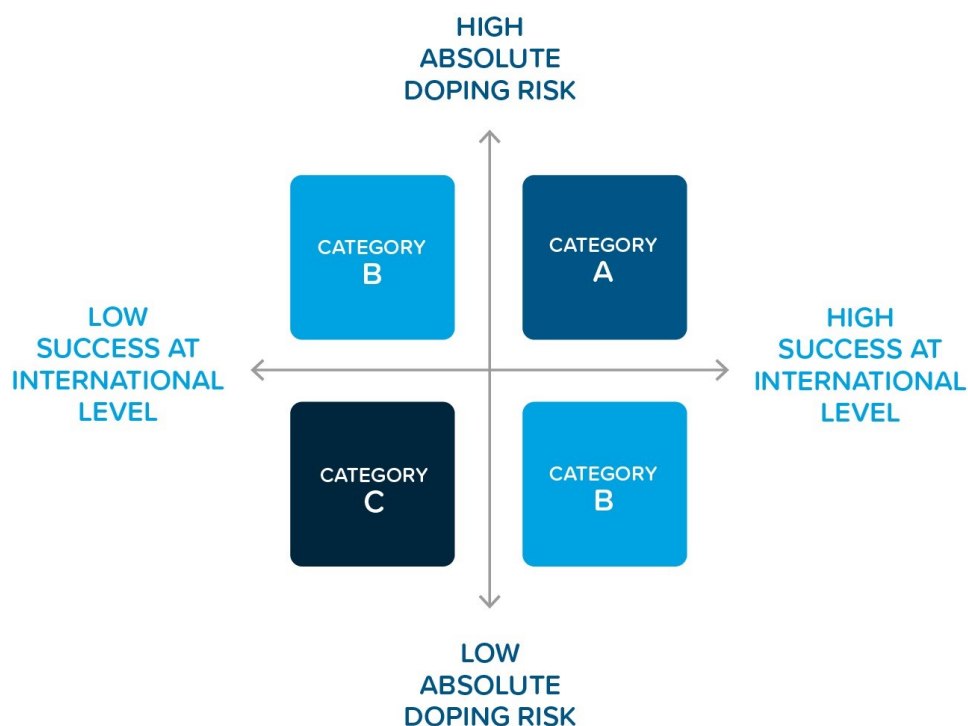


CRITERIA FOR CATEGORISATION OF NATIONAL FEDERATIONS UNDER WORLD ATHLETICS RULE 15

General Approach

For the purpose of new Rule 15, National Federations shall be categorized according to their doping risk to the sport. Categorisation of National Federations is determined by the AIU Board, in its absolute discretion.

For the purposes of determining the *doping risk to the sport* of a National Federation, the AIU Board regards it as appropriate to consider and balance two factors: absolute doping risk posed by the National Federation's Athletes or Athlete Support Personnel and current or potential success of the National Federation's Athletes or Athlete Support Personnel at International Level. The balance is illustrated in the chart below.



Rule 15 sets out a non-exhaustive list of criteria that must be taken into account by the AIU Board in categorising National Federations. Each of these criteria impact on either the absolute risk relevant to a National Federation or a National Federation's success at international level. In addition to these mandatory criteria, the AIU Board may also take into account any other matter it thinks fit in its absolute discretion. Below we set out the criteria the AIU Board have determined it will take into account, including the mandatory matters set out in Rule 15.

Criteria for assessing absolute doping risk

- The doping history of Athletes, Athlete Support Personnel and other Persons under the jurisdiction of the National Federation, including:
 - Anti-Doping Rule Violations and Adverse Analytical Findings recorded against International level and national-level Athletes and Athlete Support Personnel;
 - Consideration of the number of cases as well as the type of substances involved
 - Consideration of the circumstances of cases including whether systemic issues were involved
- Confidential intelligence or other information provided by the Integrity Unit, including:
 - Number of Atypical Findings or suspicious results reported by WADA-accredited laboratories;
 - Number of Atypical Profiles in the context of the Athlete Biological Passport (haematological module and urinary steroid module);
 - information arising from investigation activities
 - information provided by other organisations such as WADA or a NADO
 - strategic intelligence indicating risk factors for doping in the National Federation jurisdiction
- Compliance by the National Federation with Article 15.
- The manner in which a National Federation or other Relevant Anti-Doping Organisation has responded to any risk of doping within its jurisdiction, over and above mere compliance with Rule 15, including consideration of:
 - Testing activity
 - Education activity
 - Activity to encourage an open and safe environment for whistleblowers or for persons cooperating with the AIU
 - Co-operation and assistance provided to the AIU in relation to the anti-doping programme
 - The care a Federation takes in engaging coaches and other Athlete Support Personnel to ensure there is a limited risk of doping
- Any other matter the AIU Board regards as being relevant in a particular case

Criteria for assessing confirmed or potential success in sport

- The success of the National Federation in International Competitions or in particular International Competitions, whether as a whole or in particular disciplines, including:
 - National Federation's ranking in placing tables at Major International Athletics Events (World Championships, Olympic Games, Area Championships, etc);
 - Number of National Federation's athletes in World Athletics Top-Lists (Top-30 for all disciplines/ Top-50 for Road Running)
 - Placing tables of WAS events other than World Championships, Olympic Games and Area Championships (e.g: Asian Games, African Games, Commonwealth Games, Pan-American Games...)
 - National Federation's ranking according to recognised ranking systems in athletics
 - Number of athletes in the World Athletics Registered Testing Pool
- Any significant improvement in the performance of the National Federation's Athletes at any level of competition, including:
 - National Federation's performance trend at Major International Athletics Events;

- The number of athletes representing the National Federation in International Competitions or in particular International Competitions;
 - Number of National Federation's athletes competing at World Championships, Olympic Games and other Major International Athletics Events
 - Number of athletes representing the National Federation at WAS events other than World Championships and Major Games other than Olympic Games
- Any other matter the AIU Board regards as relevant in a particular case