



ATHLETICS
INTEGRITY
UNIT

RULE 15: NATIONAL FEDERATIONS OBLIGATIONS

SUMMARY OF OBLIGATIONS BY CATEGORY



CATEGORY “A” FEDERATIONS SPECIFIC OBLIGATIONS

OBJECTIVE	REQUIREMENT
ANTI-DOPING GOVERNANCE & RESOURCES	
<ul style="list-style-type: none"> ✓ Establishing an Anti-Doping Monitoring Committee to oversee compliance with Anti-Doping Rules 	<ul style="list-style-type: none"> ➤ Members with suitable qualifications and experience to be appointed by your Federation at your discretion. Names, CVs, Terms of Reference to be available to the AIU upon request ➤ Single point of contact to be identified and communicated to the AIU
WHEREABOUTS	
<ul style="list-style-type: none"> ✓ Assisting the AIU in collecting and maintaining Whereabouts information from NF's athletes selected in the IAAF Testing Pool 	<ul style="list-style-type: none"> ➤ Take all necessary steps to ensure that the athletes from your Federation in IAAF Testing Pool provide complete, accurate and updated whereabouts information ➤ Ensure athletes are aware and kept informed of their whereabouts obligations
TESTING	
<ul style="list-style-type: none"> ✓ Ensuring an effective, intelligent and proportionate National Testing Programme for international-level athletes ✓ Ensuring strict compliance with testing requirements for National Team athletes competing at IAAF World Championships and Olympic Games 	<ul style="list-style-type: none"> ➤ Work with NADO and AIU to identify at an early stage athletes likely to represent your Federation at the 2019 World Championships ➤ Ensuring there is a robust annual testing plan for this group based on risk assessment in cooperation with the local National Anti-Doping Agency (where applicable) including in-competition testing, no notice out-of-competition testing and blood testing (incl. Athlete Biological Passport) ➤ Ensuring that a minimum of three (3) no-notice out-of-competition tests/athlete in National team prior to the 2019 World Championships as per Rule 15 ➤ Doping control forms to be systematically recorded on ADAMS and available upon request
EDUCATION	
<ul style="list-style-type: none"> ✓ Ensuring that elite athletes and support personnel are educated on main anti-doping issues ✓ Assisting the AIU in developing its education programme 	<ul style="list-style-type: none"> ➤ Ensure there is mandatory education programme for all athletes and athletes support personnel participating in WAS events – in cooperation with National Anti- Doping Agency (where applicable)



CATEGORY “A” FEDERATIONS GENERAL OBLIGATIONS

OBJECTIVE	REQUIREMENT
ADOPTION OF COMPLIANT RULES AND REGULATIONS	
✓ Ensure full enforcement of IAAF Rules and Regulations in your jurisdiction	<ul style="list-style-type: none"> ➤ Incorporate IAAF Anti-Doping Rules in your own Regulatory framework ➤ Ensure that all individuals participating in the sport of athletics under your jurisdiction (athletes and support personnel) are bound by the IAAF Anti- Doping Rules and Regulations and are fully liable in case of any breach of these Rules/Regulations
REPORT & PURSUE ANTI-DOPING RULE VIOLATIONS	
✓ Ensure that all potential or asserted anti- doping rule violations are duly investigated, pursued and reported to the AIU	<ul style="list-style-type: none"> ➤ Ensure that all information or intelligence, direct or indirect evidence of a potential anti-doping rule violation committed by an individual under your jurisdiction, is brought to the attention of the AIU (directly or through the relevant ADO) ➤ Actively assist the AIU in its investigations when requested to do so ➤ Ensure proper and timely pursuit of investigations and disciplinary proceedings under your direct responsibility, if required after consulting the AIU ➤ Ensure that the relevant ADO in your country is actively cooperating with the AIU investigations, cases and proceedings in Athletics
RESULTS MANAGEMENT OBLIGATIONS	
✓ Timely and efficient reporting, processing and enforcement of doping cases/decisions in strict accordance with the procedures and timelines set out under IAAF Anti-Doping Rules	<ul style="list-style-type: none"> ➤ Actively assist the AIU in identifying and reaching out to your athlete/support personnel reported with an anti-doping rule violation (doping control form, contact details...) ➤ Timely commencement of proceedings, timely hearings, decisions. Ensure that the AIU has full knowledge of all relevant information pertaining to a case ➤ Ensure full enforcement of doping sanctions and decisions including return of medals, repayment of prize money and the Rules on status during ineligibility



CATEGORY “A” FEDERATIONS GENERAL OBLIGATIONS Cont.

MECHANISM FOR REPORTING DOPING	
<ul style="list-style-type: none"> ✓ Promote an open environment in Athletics that encourages Athletes or support personnel to report on doping 	<ul style="list-style-type: none"> ➤ Ensure an effective mechanism for receiving/collecting/processing complaints/information is in place, directly or through the relevant ADO ➤ Encourage athletes and support personnel to report doping
THERAPEUTIC USE EXEMPTIONS (TUEs)	
<ul style="list-style-type: none"> ✓ Ensure a system is in place at national level to review and grant TUEs for National-level athletes 	<ul style="list-style-type: none"> ➤ Verify with the relevant ADO that an effective TUE Committee is in place to process TUE applications from national-level athletes ➤ Ensure all TUE granted at national level are duly reported to the AIU
MEDICAL TREATMENT AND SUPPLEMENTATION	
<ul style="list-style-type: none"> ✓ Establish and maintain a systematic, accurate and up-to-date recording of all medical treatments and supplementation administered or dispensed to athletes by any person employed, funded or authorised by the NF ✓ Declare all medications, drugs, therapeutic substances and supplements intended to be used for National teams at IAAF World Championships 	<ul style="list-style-type: none"> ➤ Treatment = medications, drugs, substances and supplements for therapeutic or performance purposes given to the athletes by the Federation or on behalf of the Federation ➤ Detailed recording including the name of the athlete receiving the treatment, the name of the person administering the treatment, the reason for the treatment, and full description: name of medication/drug, duration, frequency and dosage... ➤ Declaration forms will be provided prior to World Championships
NATIONAL FEDERATION CONDUCT	
<ul style="list-style-type: none"> ✓ Act responsibly to the threat of doping in athletics ✓ Take all necessary precautions and measures aiming at limiting the risk of doping with respect to all individuals and groups under your jurisdiction 	<ul style="list-style-type: none"> ➤ Do not engage in any dishonest, improper or fraudulent conduct in relation to doping or any conduct likely to bring the sport of Athletics or the IAAF into disrepute ➤ Do not respond negligently or recklessly to the risk of doping ➤ Do not obstruct or frustrate anti-doping processes and fully cooperate with the AIU when requested to do so



CATEGORY “A” FEDERATIONS GENERAL OBLIGATIONS Cont.

PERSONNEL RELATED TO ANTI-DOPING	
<ul style="list-style-type: none">✓ Minimize the risk of doping by ensuring that the persons engaged by or working with or for your NF are of good reputation and character✓ Establish a comprehensive registration system for all individuals engaged to work with Athletes	<ul style="list-style-type: none">➤ Put in place strict selection rules and verifications before hiring or engaging with individuals with different degrees according to their position with the NF/team➤ Systematic, accurate and up-to-date registration of all persons engaged to work with Athletes



CATEGORY “B” FEDERATIONS SPECIFIC OBLIGATIONS

OBJECTIVE	REQUIREMENT
ANTI-DOPING OVERSIGHT FUNCTION	
<ul style="list-style-type: none"> ✓ Assign resources to oversee and ensure compliance with Rule 15 requirements 	<ul style="list-style-type: none"> ➤ Appoint one person as primary contact for the AIU with authority over anti- doping matters ➤ Keep a list of all other persons involved in the supervision or fulfilment of anti-doping obligations under Rule 15
WHEREABOUTS	
<ul style="list-style-type: none"> ✓ Assisting the AIU in collecting and maintaining Whereabouts information from NF’s athletes selected in the IAAF Testing Pool 	<ul style="list-style-type: none"> ➤ Take all necessary steps to ensure athletes from your Federation in IAAF International Testing Pool provide complete, accurate and updated whereabouts information ➤ Ensure athletes are aware and kept informed of their whereabouts obligations
TESTING	
<ul style="list-style-type: none"> ✓ Ensuring an effective, intelligent and proportionate Testing Plan is maintained and implemented at national level ✓ Ensuring that athletes selected in the National Team for IAAF World Championships and Olympic Games are adequately tested in the lead-up to the events 	<ul style="list-style-type: none"> ➤ Communicate with the relevant Anti-Doping Organisation (“ADO”) about your Federation’s obligations under Rule 15 ➤ Request, advocate and (where applicable) ensure funding for the support of the relevant ADO to maintain and implement an effective Testing Plan in Athletics ➤ Engage with the relevant ADO and the AIU to identify at the earliest practicable time the athletes likely to be selected for the World Championships in Doha in order to develop an appropriate Testing Plan for this group ➤ Ensure the National Testing Pool and General Testing Plan is communicated to the AIU either directly or through the relevant ADO ➤ Ensure implementation of the Testing Plan is implemented in strict accordance with requirements under Rule 15 ➤ Make sure your Federation is able to report on the implementation of the Testing Plan upon request of the AIU, directly or through the relevant ADO



CATEGORY “B” FEDERATIONS SPECIFIC OBLIGATIONS Cont.

EDUCATION	
<p>✓ Use best endeavours to ensure that athletes selected in National Team for World Athletics Series Events and all Athletes support personnel under NF’s jurisdiction are subject to mandatory education programmes on main anti-doping issues</p>	<ul style="list-style-type: none">➤ Create/maintain educational content and framework directly or through the relevant ADO and ensure compliance from all participants➤ Be able to show participation of participants to NFs (or ADOs) programmes➤ Cooperate with the AIU for the development and implementation of anti- doping programmes



CATEGORY “B” FEDERATIONS GENERAL OBLIGATIONS

OBJECTIVE	REQUIREMENT
ADOPTION OF COMPLIANT RULES AND REGULATIONS	
<p>✓ Ensure full enforcement of IAAF Rules and Regulations in your jurisdiction</p>	<ul style="list-style-type: none"> ➤ Incorporate IAAF Anti-Doping Rules in your own Regulatory framework ➤ Ensure that all individuals participating in the sport of athletics under your jurisdiction (athletes and support personnel) are bound by the IAAF Anti- Doping Rules and Regulations and are fully liable in case of any breach of these Rules/Regulations
REPORT & PURSUE ANTI-DOPING RULE VIOLATIONS	
<p>✓ Ensure that all potential or asserted anti-doping rule violations are duly investigated, pursued and reported to the AIU</p>	<ul style="list-style-type: none"> ➤ Ensure that all information or intelligence, direct or indirect evidence of a potential anti-doping rule violation committed by an individual under your jurisdiction, is brought to the attention of the AIU (directly or through the relevant ADO) ➤ Actively assist the AIU in its investigations when requested to do so ➤ Ensure proper and timely pursuit of investigations and disciplinary proceedings under your direct responsibility, if required after consulting the AIU ➤ Ensure that the relevant ADO in your country is actively cooperating with the AIU in all investigations, cases and proceedings in Athletics
RESULTS MANAGEMENT OBLIGATIONS	
<p>✓ Timely and efficient reporting, processing and enforcement of doping cases/decisions in strict accordance with the procedures and timelines set out under IAAF Anti-Doping Rules</p>	<ul style="list-style-type: none"> ➤ Actively assist the AIU in identifying and reaching out to the athlete/support personnel reported with an anti-doping rule violation (doping control form, contact details...) ➤ Ensure timely commencement of proceedings, timely hearings and decisions. Ensure that the AIU has full knowledge of all relevant information pertaining to a case in Athletics (either directly or through the relevant ADO) ➤ Ensure full enforcement of doping sanctions and decisions including return of medals, repayment of prize money and the Rules on status during ineligibility



CATEGORY “B” FEDERATIONS GENERAL OBLIGATIONS Cont.

MECHANISM FOR REPORTING DOPING	
<ul style="list-style-type: none"> ✓ Promote an open environment in Athletics that encourages Athletes or support personnel to report on doping 	<ul style="list-style-type: none"> ➤ Ensure an effective mechanism for receiving/collecting/processing complaints/information is in place, directly or through the relevant ADO ➤ Encourage athletes and support personnel to report doping
THERAPEUTIC USE EXEMPTIONS	
<ul style="list-style-type: none"> ✓ Ensure a system is in place at national level to review and grant TUEs for National-level athletes 	<ul style="list-style-type: none"> ➤ Verify with the relevant ADO that an effective TUE Committee is in place to review TUE applications from National-level athletes ➤ Ensure all TUE granted at national level are duly reported to the AIU
MEDICAL TREATMENT AND SUPPLEMENTATION	
<ul style="list-style-type: none"> ✓ Establish and maintain a systematic, accurate and up-to-date recording of all medical treatments and supplementation administered or dispensed to athletes by any person employed, funded or authorised by the Federation ✓ Declare all medications, drugs, therapeutic substances and supplements intended to be used for National teams at IAAF World Championships 	<ul style="list-style-type: none"> ➤ Treatment = medications, drugs, substances and supplements for therapeutic or performance purposes given to the athletes by the Federation or on behalf of the federation ➤ Detailed recording including the name of the athlete receiving the treatment, the name of the person administering the treatment, the reason for the treatment, and full description: name of medication/drug, duration, frequency and dosage... ➤ Declaration forms will be provided prior to World Championships
NATIONAL FEDERATION CONDUCT	
<ul style="list-style-type: none"> ✓ Act responsibly to the threat of doping in athletics ✓ Take all necessary precautions and measures aiming at limiting the risk of doping with respect to all individuals and groups under your jurisdiction 	<ul style="list-style-type: none"> ➤ Do not engage in any dishonest, improper or fraudulent conduct in relation to doping or any conduct likely to bring the sport of Athletics or the IAAF into disrepute ➤ Do not respond negligently or recklessly to the risk of doping ➤ Do not obstruct or frustrate anti-doping processes and fully cooperate with the AIU when requested to do so



CATEGORY “B” FEDERATIONS GENERAL OBLIGATIONS Cont.

PERSONNEL RELATED TO ANTI-DOPING	
<ul style="list-style-type: none">✓ Take all reasonable measures to ensure that the persons engaged by or working with or for your Federation are of good reputation and that the risk of doping in relation to that engagement is minimized	<ul style="list-style-type: none">➤ Ensure basic verification in place before recruiting new staff/athletes support personnel➤ Keep a list of all Athletes Support Personnel engaged by/working for your Federation



CATEGORY “C” FEDERATIONS SPECIFIC OBLIGATIONS

OBJECTIVE	REQUIREMENT
TESTING	
✓ Take all reasonable steps to ensure that the athletes selected in the National Team for IAAF World Championships or Olympic Games are tested prior to the event	<ul style="list-style-type: none">➤ Communicate with the relevant Anti-Doping Organisation (“ADO”) about your Federation’s obligations under Rule 15➤ Request, advocate and (where applicable) ensure funding for the support of the relevant ADO to maintain and implement an effective Testing Plan in Athletics➤ Engage with the relevant ADO and the AIU to identify at the earliest practicable time the athletes likely to be selected for the World Championships in order to develop an appropriate Testing Plan for this group➤ Ensure that all tests conducted nationally are recorded on WADA’s ADAMS database➤ Make sure your Federation is able to report on the implementation of the Testing Plan upon request of the AIU, directly or through the relevant Anti- Doping Organisation
EDUCATION	
✓ Use best endeavours to ensure that athletes selected in National Team for World Athletics Series Events and all Athletes support Personnel under NF’s jurisdiction are subject to mandatory education programmes on main anti-doping issues.	<ul style="list-style-type: none">➤ Create/maintain educational content and framework directly or through the relevant ADO and ensure compliance from all participants➤ Be able to show participation of participants to NFs (or ADOs) programmes➤ Cooperate with the AIU for the development and implementation of anti- doping programmes



CATEGORY “C” FEDERATIONS GENERAL OBLIGATIONS

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ADOPTION OF COMPLIANT RULES AND REGULATIONS	
✓ Ensure full enforcement of IAAF Rules and Regulations in your jurisdiction	<ul style="list-style-type: none">➤ Incorporate IAAF Anti-Doping Rules in your own Regulatory framework➤ Ensure that all individuals participating in the sport of athletics under your jurisdiction (athletes and support personnel) are bound by the IAAF Anti- Doping Rules and Regulations and are fully liable in case of any breach of these Rules/Regulations
REPORT & PURSUE ANTI-DOPING RULE VIOLATIONS	
✓ Ensure that all potential or asserted anti-doping rule violations are duly investigated, pursued and reported to the AIU	<ul style="list-style-type: none">➤ Ensure that all information or intelligence, direct or indirect evidence of a potential anti-doping rule violation committed by an individual under your jurisdiction, is brought to the attention of the AIU (directly or through the relevant ADO)➤ Actively assist the AIU in its investigations when requested to do so➤ Ensure proper and timely pursuit of investigations and disciplinary proceedings under your direct responsibility, if required after consulting the AIU➤ Ensure that the relevant ADO in your country is actively cooperating with the AIU investigations, cases and proceedings in Athletics
RESULTS MANAGEMENT OBLIGATIONS	
✓ Timely and efficient reporting, processing and enforcement of doping cases/decisions in strict accordance with the procedures and timelines set out under IAAF Anti-Doping Rules	<ul style="list-style-type: none">➤ Actively assist the AIU in identifying and reaching out to your athlete/support personnel reported with an anti-doping rule violation (doping control form, contact details...)➤ Timely commencement of proceedings, timely hearings, decisions. Ensure that the AIU has full knowledge of all relevant information pertaining to a case➤ Ensure full enforcement of doping sanctions and decisions including return of medals, repayment of prize money and the Rules on status during ineligibility



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NATIONAL FEDERATION CONDUCT	
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CATEGORY “C” FEDERATIONS GENERAL OBLIGATIONS Cont.

PERSONNEL RELATED TO ANTI-DOPING	
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