

RULE 15: NATIONAL FEDERATIONS ANTI-DOPING OBLIGATIONS

SUMMARY OF OBLIGATIONS BY CATEGORY

GENERAL OBLIGATIONS (1/5)
APPLICABLE TO ALL CATEGORIES

OBJECTIVE	REQUIREMENT	TIMELINE
ADOPTION OF COMPLIANT RULES AND REGULATIONS		
<p>✓ Ensure full application and enforcement of World Athletics Rules in your jurisdiction</p>	<ul style="list-style-type: none"> ➤ Incorporate World Athletics Anti-Doping Rules (either directly or by reference) within your own Regulatory framework so that both your federation and the AIU can enforce the Rules against all athletes, support personnel and other persons under your jurisdiction ➤ Ensure that all individuals participating in the sport of athletics under your jurisdiction (athletes and support personnel) agree as a condition of such participation to be bound by the World Athletics Anti-Doping Rules and to submit to the results management authority set out in such Rules ➤ Provide World Athletics/AIU with a copy of your Federation's constitution, rules and/or by-laws incorporating the World Athletics Anti-Doping Rules (directly or by reference) 	<ul style="list-style-type: none"> ➤ Permanent obligation <i>(New Anti-Doping Rules in 2021)</i> ➤ To be decided by World Athletics
REPORT & PURSUE ANTI-DOPING RULE VIOLATIONS		
<p>✓ Ensure that all potential or asserted anti-doping rule violations are duly investigated, pursued and reported to the AIU</p>	<ul style="list-style-type: none"> ➤ Ensure that all information, intelligence, direct or indirect evidence of a potential anti-doping rule violation committed by an individual under your jurisdiction, is brought to the attention of the AIU on a timely basis (directly or through the relevant ADO) ➤ Actively assist the AIU in its investigations when requested to do so ➤ Ensure proper and timely pursuit of investigations and disciplinary proceedings under your direct responsibility, if required after consulting the AIU ➤ Ensure that the NADO in your country is actively cooperating with the AIU in connection with investigations, cases and proceedings in Athletics 	<ul style="list-style-type: none"> ➤ Permanent obligation

GENERAL OBLIGATIONS (2/5)
APPLICABLE TO ALL CATEGORIES

OBJECTIVE	REQUIREMENT	TIMELINE
RESULTS MANAGEMENT OBLIGATIONS		
<p>✓ Timely and efficient reporting, processing and enforcement of doping cases/decisions in strict accordance with the procedures and timelines set out under World Athletics Anti-Doping Rules and the International Standard for Results Management</p>	<ul style="list-style-type: none"> ➤ Actively assist the AIU in identifying and reaching out to your athlete/support personnel reported with an anti-doping rule violation (doping control form, contact details...) ➤ Ensure that any anti-doping rule violation case that you discover or that you are required to process under the World Athletics Anti-Doping Rules is adjudicated in accordance with the International Standard for Results Management (see Appendix 6 of the Anti-Doping Rules) by an “operationally independent” hearing panel meaning that: <ul style="list-style-type: none"> • No board member, staff member, commission member, consultant, adviser or official of your federation, or any person involved in the investigation and pre-adjudication of the matter, can be appointed as a member and/or clerk of any hearing panel • Hearing panels must be in a position to conduct the hearing and decision-making process without interference from your federation or any third party ➤ Ensure the timely commencement of proceedings, timely hearings and timely decisions. ➤ Ensure that the AIU has full knowledge at all times of all relevant information pertaining to a case under adjudication by your Federation ➤ Enforce the World Athletics Anti-Doping Rules on an athlete or other person’s status during a provisional suspension or ineligibility ➤ Ensure full enforcement of any final decision taken under the World Athletics Anti-Doping Rules, including the return of a disqualified athlete’s medals, titles and awards and repayment of prize money. 	<p>➤ Permanent obligation</p>

GENERAL OBLIGATIONS (3/5)
APPLICABLE TO ALL CATEGORIES

OBJECTIVE	REQUIREMENT	TIMELINE
MECHANISM FOR REPORTING DOPING		
<p>✓ Promote an open environment in Athletics that encourages Athletes or support personnel to report on doping</p>	<ul style="list-style-type: none"> ➤ Encourage athletes and support personnel to report doping and other non-compliance ➤ Ensure an effective mechanism is in place for receiving/collecting/processing complaints/information about doping and other non-compliance, directly or through your NADO, and there is a designated individual whose function is to assist the person reporting the matter to the AIU and/or WADA ➤ Adopt a specific rule to implement Rule 2.11 of the World Athletics Anti-Doping Rules prohibiting acts by an athlete or other person to discourage or retaliate against the reporting of doping to the authorities 	<p>➤ Permanent obligation</p>
THERAPEUTIC USE EXEMPTIONS (TUEs)		
<p>✓ Ensure a system is in place at national level to review and grant TUEs for National-level athletes</p>	<ul style="list-style-type: none"> ➤ Verify with your NADO that an effective TUE Committee is in place to process TUE applications from national-level athletes in accordance with the World Athletics Rules and the International Standard for TUEs (see Appendix 4 of the Rules) ➤ Ensure all TUE granted at national level are duly reported to the AIU 	<p>➤ Permanent obligation</p>

GENERAL OBLIGATIONS (4/5)
APPLICABLE TO ALL CATEGORIES

REQUIREMENT

MEDICAL TREATMENT AND SUPPLEMENTATION

<ul style="list-style-type: none"> ✓ Establish and maintain a systematic, accurate and up-to-date recording of all medical treatments and supplementation administered or dispensed to athletes by any person employed, funded or authorised by the NF ✓ Declare all medications, drugs, therapeutic substances and supplements intended to be used for National teams at Olympic Games 	<ul style="list-style-type: none"> ➤ Treatment = medications, drugs, substances and supplements for therapeutic or performance purposes given to the athletes by the Federation or on behalf of the Federation ➤ Detailed recording including the name of the athlete receiving the treatment, the name of the person administering the treatment, the reason for the treatment, and full description: name of medication/drug, duration, frequency and dosage... ➤ Declaration forms will be provided prior to Olympic Games as per IOC policy ➤ Have disciplinary rules in place to prevent support personnel who are using prohibited substances or prohibited methods without valid justification from providing support to athletes under your jurisdiction 	<ul style="list-style-type: none"> ➤ Permanent obligation to keep records up-to-date and available upon request ➤ Declarations to be filed prior/at the Olympic Games as per IOC policy
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NATIONAL FEDERATION CONDUCT

<ul style="list-style-type: none"> ✓ Act responsibly to the threat of doping in athletics ✓ Take all necessary precautions and measures aiming at limiting the risk of doping with respect to all individuals and groups under your jurisdiction 	<ul style="list-style-type: none"> ➤ Do not engage in any dishonest, improper or fraudulent conduct in relation to doping or any conduct likely to bring the sport of Athletics or World Athletics into disrepute ➤ Do not conduct yourself negligently or recklessly in response to the risk of doping ➤ Do not obstruct or frustrate anti-doping processes from occurring and fully cooperate with the AIU when requested to do so ➤ Do not obstruct, delay or hinder an investigation conducted by the AIU pursuant to World Athletics Anti-Doping Rules 	<ul style="list-style-type: none"> ➤ Permanent obligation
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GENERAL OBLIGATIONS (5/5)
APPLICABLE TO ALL CATEGORIES

OBJECTIVE	REQUIREMENT	TIMELINE
PERSONNEL RELATED TO ANTI-DOPING		
<ul style="list-style-type: none"> ✓ Minimize the risk of doping by ensuring that the persons engaged by or working with or for your NF are of good reputation and character ✓ Establish a comprehensive registration system for all individuals engaged to work with Athletes 	<ul style="list-style-type: none"> ➤ Put in place strict selection rules and verification/vetting before hiring or engaging individuals (with different degrees of verification/vetting according to their position with the NF/team) ➤ Ensure that no person who is provisionally suspended or serving a period of ineligibility is elected, appointed or employed in any role in connection with anti-doping ➤ Terminate with immediate effect your federation's relationship with any person contracted to the federation, holding an office or directorship with the federation or sitting on a committee or commission of the federation, if such person is found to have committed an anti-doping rule violation or other breach of the World Athletics Anti-Doping Rules ➤ Keep and maintain a complete, accurate and up to date register of athlete support personnel and other persons that you engage or fund to work with athletes, such a register to be provided to the AIU on request 	<ul style="list-style-type: none"> ➤ Permanent obligation

**CATEGORY 'A' FEDERATIONS
SPECIFIC OBLIGATIONS (1/2)**

OBJECTIVE	REQUIREMENT	TIMELINE
ANTI-DOPING GOVERNANCE & RESOURCES		
✓ Establishing an Anti-Doping Monitoring Committee to oversee compliance with Anti-Doping Rules	<ul style="list-style-type: none"> ➤ Members with suitable qualifications and experience to be appointed by your Federation at your discretion. Names, CVs, Terms of Reference to be available to the AIU upon request ➤ Single point of contact to be identified and communicated to the AIU ➤ Send the AIU the names, backgrounds and responsibilities of each person sitting on the Anti-Doping Monitoring Committee and the terms of reference of such committee 	➤ Permanent obligation/update each time new member or contact person appointed
WHEREABOUTS		
✓ Assisting the AIU in collecting and maintaining Whereabouts information from NF's athletes selected in the World Athletics Testing Pool	<ul style="list-style-type: none"> ➤ Take all necessary steps to ensure that the athletes from your Federation in World Athletics Testing Pool provide complete, accurate and updated whereabouts information ➤ Ensure (directly or through your NADO) that athletes are aware and kept informed of their whereabouts obligations 	➤ Permanent obligation

**CATEGORY 'A' FEDERATIONS
SPECIFIC OBLIGATIONS (2/2)**

OBJECTIVE	REQUIREMENT	TIMELINE
TESTING		
<ul style="list-style-type: none"> ✓ Ensuring an effective, intelligent and proportionate National Testing Programme for international-level athletes ✓ Ensuring strict compliance with testing requirements for National Team athletes competing at Olympic Games 	<ul style="list-style-type: none"> ➤ Work with NADO and AIU to identify at an early stage, athletes likely to represent your Federation at the 2021 Olympic Games ➤ Ensure there is a robust annual testing plan for this group based on risk assessment in cooperation with your NADO including in-competition testing and out-of-competition testing, blood testing and urine testing. ➤ Ensure a minimum of three (3) no-notice out-of-competition tests for all athletes in National team prior to the 2021 Olympic Games as per the requirements in Rule 15 ➤ Ensure that all testing of athletes is conducted under the documented authority of the local NADO and using their sample collection personnel to collect the samples. No testing is to be physically conducted by your Federation. ➤ Ensure that all samples are collected in accordance with the International Standard for Testing and Investigations (Appendix 5 of the World Athletics Anti-Doping Rules) and sent for analysis to a WADA-accredited laboratory. ➤ Ensure that all doping control forms are systematically recorded by the NADO on ADAMS and available to the AIU upon request 	<ul style="list-style-type: none"> ➤ National Testing Pool and General Testing Plan to be submitted to the AIU by no later than <u>31 January 2021</u> ➤ Updated plan and Intermediary Report by <u>30 April 2021</u> ➤ Testing records for National Team members to be submitted by no later than <u>30 June 2021</u>
EDUCATION		
<ul style="list-style-type: none"> ✓ Ensuring that elite athletes and support personnel are educated on main anti-doping issues ✓ Assisting the AIU in developing its education programme 	<ul style="list-style-type: none"> ➤ Ensure there is mandatory education programme for all athletes and athletes support personnel participating in Tokyo Olympic Games – in cooperation with National Anti-Doping Agency (where applicable) on all topics listed under 15.5.3 (a)AIU 	<ul style="list-style-type: none"> ➤ Education Plan to be shared with the AIU by no later than <u>31 March 2021</u> ➤ Submit concise report by no later than <u>30 June 2021</u> explaining how your federation complied with article 15.5.3 (a) requirement

**CATEGORY 'B' FEDERATIONS
SPECIFIC OBLIGATIONS (1/3)**

OBJECTIVE	REQUIREMENT	TIMELINE
ANTI-DOPING OVERSIGHT FUNCTION		
<p>✓ Assign resources to oversee and ensure compliance with Rule 15 requirements</p>	<ul style="list-style-type: none"> ➤ Appoint one person as primary contact for the AIU with authority over anti-doping matters and communicate that person's contact details to the AIU ➤ Provide the AIU upon request with details of the names, backgrounds and responsibilities of each person appointed to oversee and ensure the federation's compliance with the requirements of this Rule 15 and keep such information up-to-date. 	<p>➤ Permanent obligation/update details of contact person when new person appointed</p>
WHEREABOUTS		
<p>✓ Assisting the AIU in collecting and maintaining Whereabouts information from NF's athletes selected in the World Athletics Testing Pool</p>	<ul style="list-style-type: none"> ➤ Take all necessary steps to ensure that the athletes from your Federation in World Athletics Testing Pool provide complete, accurate and updated whereabouts information ➤ Ensure athletes are aware and kept informed of their whereabouts obligations 	<p>➤ Permanent obligation</p>

**CATEGORY 'B' FEDERATIONS
SPECIFIC OBLIGATIONS (2/3)**

OBJECTIVE	REQUIREMENT	TIMELINE
TESTING		
<ul style="list-style-type: none"> ✓ Ensuring an effective, intelligent and proportionate Testing Plan is maintained and implemented at national level ✓ Ensuring that athletes selected in the National Team for Olympic Games are adequately tested in the lead-up to the event 	<ul style="list-style-type: none"> ➤ Communicate with your local National Anti-Doping Organisation (“NADO”) about your Federation’s Testing obligations under Rule 15 at the earliest possible opportunity ➤ Request, advocate for and (where applicable) ensure funding for the support of your local NADO to maintain and implement an effective Testing Plan in Athletics in accordance with the requirements of Rule 15 ➤ Identify at the earliest practicable time, the athletes likely to be selected for the Olympic Games and communicate the list to the NADO in order to develop an appropriate Testing Plan for the team and keep the list up-to-date throughout ➤ Ensure the National Testing Pool and Testing Plan is documented and provided to the AIU by 31 January (unless otherwise agreed with the AIU) and is thereafter kept up to date and made available upon request by the AIU ➤ Ensure the Testing Plan is implemented in strict accordance with requirements under Rule 15.6.1 ➤ In particular, ensure that all Testing of athletes is conducted under the documented authority of the local NADO and using their sample collection personnel to collect the samples. No Testing is to be physically conducted by your federation. ➤ The Testing Plan is to include in-competition Testing, no notice out-of-competition Testing and pre-competition blood Testing for detection and profiling analyses as prescribed under WADA’s Technical Document on Sport-Specific Analyses (TDSSA) ➤ Ensure that all samples are collected in accordance with the International Standard for Testing and Investigations (Appendix 5 of the World Athletics Anti-Doping Rules) and sent for analysis to a WADA-accredited Laboratory. ➤ Make sure your Federation is able to report at any time on the implementation of the Testing Plan upon request of the AIU, directly or through the relevant NADO ➤ Ensure that all doping control forms are systematically recorded by the NADO on ADAMS and made available to the AIU upon request 	<ul style="list-style-type: none"> ➤ Between 01 January 2021 and start of the Olympic Games ➤ National Registered Testing Pool and Testing Plan to be provided to the AIU (directly or through your NADO) by no later than 31 January 2021

**CATEGORY 'B' FEDERATIONS
SPECIFIC OBLIGATIONS (3/3)**

OBJECTIVE	REQUIREMENT	TIMELINE
EDUCATION		
<p>✓ Use best endeavours to ensure that athletes selected in National Team for the Olympic Games and World Athletics Series Events and Athletes' support personnel under NF's jurisdiction are subject to mandatory education programmes on main anti-doping issues</p>	<ul style="list-style-type: none"> ➤ Use best efforts to ensure there is a mandatory education programme for all athletes and athletes support personnel participating in WAS events ➤ Ensure that all education at national level is provided in co-operation with the local NADO ➤ Create/maintain educational content and framework directly or through the relevant NADO and ensure compliance from all participants ➤ Identify and list all participants who take part in national level education programmes and ensure the list of participants is available to the AIU upon request ➤ Cooperate with the AIU for the development and implementation of anti-doping education programmes in Athletics, including for International-Level Athletes 	<ul style="list-style-type: none"> ➤ Mandatory education programme to be implemented in the lead-up to the Olympic Games

**CATEGORY 'C' FEDERATIONS
SPECIFIC OBLIGATIONS (1/2)**

OBJECTIVE	REQUIREMENT	TIMELINE
TESTING		
<p>✓ Take all reasonable steps to ensure that the athletes selected in the National Team for the Tokyo Olympic Games are tested prior to the event</p>	<ul style="list-style-type: none"> ➤ Communicate with your local National Anti-Doping Organisation (“NADO”) or Regional Anti-Doping Organisation (“RADO”), if there is no NADO, about your Federation’s Testing obligations under Rule 15 at the earliest possible opportunity ➤ Request, advocate for and (where applicable) ensure funding for the support of your local NADO or RADO to maintain and implement an effective Testing Plan in Athletics in accordance with the requirements of Rule 15 ➤ Engage with the relevant NADO to identify at the earliest practicable time the athletes likely to be selected in the National Team for the Olympic Games in order to develop an appropriate Testing Plan for this group ➤ Ensure that all Testing of athletes is conducted under the documented authority of the local NADO or RADO and using their sample collection personnel to collect the samples. No Testing is to be physically conducted by your federation. ➤ Ensure that all samples are collected in accordance with the International Standard for Testing and Investigations (Appendix 5 of the World Athletics Anti-Doping Rules) and sent for analysis to a WADA-accredited Laboratory. ➤ Make sure your Federation is able to report at any time on the implementation of the Testing Plan upon request of the AIU, directly or through the relevant NADO or RADO ➤ Ensure that all doping control forms are systematically recorded by the NADO or RADO on ADAMS and made available to the AIU upon request 	<p>➤ Annual Report on National Testing Programme to be submitted to the AIU by no later than 15 October 2021 via on-line platform</p>

**CATEGORY 'C' FEDERATIONS
SPECIFIC OBLIGATIONS (2/2)**

OBJECTIVE	REQUIREMENT	TIMELINE
EDUCATION		
<p>✓ Use best endeavors to ensure that athletes selected in National Team for World Athletics Series Events and all Athletes support Personnel under NF's jurisdiction are subject to mandatory education programmes on main Anti-Doping issues.</p>	<ul style="list-style-type: none"> ➤ Use best efforts to ensure there is a mandatory education programme for all athletes and athletes support personnel participating in WAS events ➤ Ensure that all education at national level is provided in co-operation with the local NADO ➤ Create/maintain educational content and framework directly or through the relevant NADO and ensure compliance from all participants ➤ Identify and list all participants who take part in national level education programmes and ensure the list of participants is available to the AIU upon request ➤ Cooperate with the AIU for the development and implementation of anti-doping education programmes in Athletics, including for International-Level Athletes 	<p>➤ Education programme summary to be submitted to the AIU as part of annual report by no later than 15 October 2021 via on-line platform</p>