



www.athleticsintegrity.org

RULE 15: NATIONAL FEDERATIONS OBLIGATIONS

SUMMARY OF OBLIGATIONS 2023

GENERAL OBLIGATIONS (1/4)
APPLYING TO ALL CATEGORIES

| OBJECTIVE | REQUIREMENT | TIMELINE |
|--|---|---|
| ADOPTION OF COMPLIANT RULES AND REGULATIONS | | |
| <ul style="list-style-type: none"> ➤ Ensure full application and enforcement of World Athletics Rules in your jurisdiction. | <ul style="list-style-type: none"> ➤ Ensure World Athletics Anti-Doping Rules are incorporated within your own Regulatory framework (either directly or by reference) so that both your federation and the AIU can enforce the Rules against all athletes, support personnel and other persons under your jurisdiction. | <ul style="list-style-type: none"> ➤ Permanent obligation. |
| | <ul style="list-style-type: none"> ➤ Ensure that all individuals participating in the sport of athletics under your jurisdiction (athletes and support personnel) agree as a condition of such participation to be bound by the World Athletics Anti-Doping Rules and to submit to the results management authority set out in such Rules. | |
| | <ul style="list-style-type: none"> ➤ Provide World Athletics/AIU with a copy of your Federation's constitution, rules and/or by-laws incorporating the World Athletics Anti-Doping Rules (directly or by reference). | <ul style="list-style-type: none"> ➤ To be decided by World Athletics. |
| REPORT & PURSUE ANTI-DOPING RULE VIOLATIONS | | |
| <ul style="list-style-type: none"> ➤ Ensure that all potential or asserted Anti-Doping rule violations are duly investigated, pursued, and reported to the AIU. | <ul style="list-style-type: none"> ➤ Ensure that all information, intelligence, direct or indirect evidence of a potential Anti-Doping rule violation committed by an individual under your jurisdiction, is brought to the attention of the AIU on a timely basis (directly or through the relevant ADO). | <ul style="list-style-type: none"> ➤ Permanent obligation. |
| | <ul style="list-style-type: none"> ➤ Actively assist the AIU in its investigations when requested to do so. | |
| | <ul style="list-style-type: none"> ➤ Ensure proper and timely pursuit of investigations and disciplinary proceedings under your direct responsibility, if required after consulting the AIU. | |
| | <ul style="list-style-type: none"> ➤ Ensure that the NADO in your country is actively cooperating with the AIU in connection with investigations, cases, and proceedings in Athletics. | |
| | <ul style="list-style-type: none"> ➤ Ensure that the NADO in your country is actively cooperating with the AIU in connection with investigations, cases, and proceedings in Athletics. | |

GENERAL OBLIGATIONS (2/4)
APPLYING TO ALL CATEGORIES

| OBJECTIVE | REQUIREMENT | TIMELINE |
|--|--|--------------------------------|
| RESULTS MANAGEMENT OBLIGATIONS | | |
| <p>➤ Timely and efficient reporting, processing, and enforcement of doping cases/decisions in strict accordance with the procedures and timelines set out under World Athletics Anti-Doping Rules and the International Standard for Results Management.</p> | <p>➤ Ensure that any Anti-Doping rule violation case that you discover or that you are required to process under the World Athletics Anti-Doping Rules is adjudicated in accordance with the International Standard for Results Management (see Appendix 6 of the Anti-Doping Rules) by an “operationally independent” hearing panel meaning that:</p> <ul style="list-style-type: none"> • No board member, staff member, commission member, consultant, adviser or official of your federation, or any person involved in the investigation and pre-adjudication of the matter, can be appointed as a member and/or clerk of any hearing panel. • Hearing panels must be able to conduct the hearing and decision-making process without interference from your federation or any third party. | <p>➤ Permanent obligation.</p> |
| | <p>➤ Ensure the timely commencement of proceedings, timely hearings, and timely decisions.</p> | |
| | <p>➤ Ensure that the AIU has full knowledge at all times of all relevant information pertaining to a case under adjudication by your Federation.</p> | |
| | <p>➤ Enforce the World Athletics Anti-Doping Rules on an athlete or other person’s status during a provisional suspension or ineligibility.</p> | |
| | <p>➤ Ensure full enforcement of any final decision taken under the World Athletics Anti-Doping Rules, including the return of a disqualified athlete’s medals, titles and awards and repayment of prize money.</p> | |

GENERAL OBLIGATIONS (3/4)
APPLYING TO ALL CATEGORIES

| OBJECTIVE | REQUIREMENT | TIMELINE |
|---|---|---|
| MECHANISM FOR REPORTING DOPING | | |
| <ul style="list-style-type: none"> ➤ Promote an open environment in Athletics that encourages Athletes or support personnel to report on doping. | <ul style="list-style-type: none"> ➤ Encourage athletes and support personnel to report doping and other non-compliance. ➤ Ensure an effective mechanism is in place for receiving/collecting/processing complaints/information about doping and other non-compliance, directly or through your NADO, and there is a designated individual whose function is to assist the person reporting the matter to the AIU and/or WADA. ➤ Adopt a specific rule to implement Rule 2.11 of the World Athletics Anti-Doping Rules prohibiting acts by an athlete or other person to discourage or retaliate against the reporting of doping to the authorities. | <ul style="list-style-type: none"> ➤ Permanent obligation. |
| THERAPEUTIC USE EXEMPTIONS (TUEs) | | |
| <ul style="list-style-type: none"> ➤ Ensure a system is in place at national level to review and grant TUEs for National-level athletes. | <ul style="list-style-type: none"> ➤ Verify with your NADO that an effective TUE Committee is in place to process TUE applications from national-level athletes in accordance with the World Athletics Rules and the International Standard for TUEs (see Appendix 4 of the Rules). ➤ Ensure all TUE granted at national level are duly reported to the AIU. | <ul style="list-style-type: none"> ➤ Permanent obligation. |
| MEDICAL TREATMENT AND SUPPLEMENTATION | | |
| <ul style="list-style-type: none"> ➤ Establish and maintain a systematic, accurate and up-to-date recording of all medical treatments and supplementation administered or dispensed to athletes by any person employed, funded, or authorized by the NF. | <ul style="list-style-type: none"> ➤ Treatment = medications, drugs, substances, and supplements for therapeutic or performance purposes given to the athletes by the Federation or on behalf of the Federation. ➤ Detailed recording including the name of the athlete receiving the treatment, the name of the person administering the treatment, the reason for the treatment, and full description: name of medication/drug, duration, frequency, and dosage. | <ul style="list-style-type: none"> ➤ Permanent obligation to keep records up-to-date and available upon request. |

GENERAL OBLIGATIONS (4/4)
APPLYING TO ALL CATEGORIES

| OBJECTIVE | REQUIREMENT | TIMELINE |
|--|--|---|
| NATIONAL FEDERATION CONDUCT | | |
| <ul style="list-style-type: none"> ➤ Act responsibly to the threat of doping in athletics. ➤ Take all necessary precautions and measures aiming at limiting the risk of doping with respect to all individuals and groups under your jurisdiction. | <ul style="list-style-type: none"> ➤ Do not engage in any dishonest, improper, or fraudulent conduct in relation to doping or any conduct likely to bring the sport of Athletics or World Athletics into disrepute. | <ul style="list-style-type: none"> ➤ Permanent obligation. |
| | <ul style="list-style-type: none"> ➤ Do not conduct yourself negligently or recklessly in response to the risk of doping. | |
| | <ul style="list-style-type: none"> ➤ Do not obstruct or frustrate Anti-Doping processes from occurring and fully cooperate with the AIU when requested to do so. | |
| | <ul style="list-style-type: none"> ➤ Do not obstruct, delay, or hinder an investigation conducted by the AIU pursuant to World Athletics Anti-Doping Rules. | |
| PERSONNEL RELATED TO ANTI-DOPING | | |
| <ul style="list-style-type: none"> ➤ Minimize the risk of doping by ensuring that the persons engaged by or working with or for your NF are of good reputation and character. ➤ Establish a comprehensive registration system for all individuals engaged to work with Athletes. | <ul style="list-style-type: none"> ➤ Put in place strict selection rules and verification/vetting before hiring or engaging individuals (with different degrees of verification/vetting according to their position with the NF/team). | <ul style="list-style-type: none"> ➤ Permanent obligation. |
| | <ul style="list-style-type: none"> ➤ Ensure that no person who is provisionally suspended or serving a period of ineligibility is elected, appointed, or employed in any role in connection with Anti-Doping. | |
| | <ul style="list-style-type: none"> ➤ Terminate with immediate effect your federation's relationship with any person contracted to the federation, holding an office or directorship with the federation, or sitting on a committee or commission of the federation, if such person is found to have committed an Anti-Doping rule violation or other breach of the World Athletics Anti-Doping Rules. | |
| | <ul style="list-style-type: none"> ➤ Keep and maintain a complete, accurate and up to date register of athlete support personnel and other persons that you engage or fund to work with athletes, such a register to be provided to the AIU on request. | |

**CATEGORY 'A' FEDERATIONS
SPECIFIC OBLIGATIONS (1/2)**

| OBJECTIVE | REQUIREMENT | TIMELINE |
|--|--|---|
| WHEREABOUTS | | |
| <ul style="list-style-type: none"> ➤ Assisting the AIU in collecting and maintaining Whereabouts information from NF's athletes selected in the World Athletics Testing Pool. | <ul style="list-style-type: none"> ➤ Take all necessary steps to ensure that the athletes from your Federation in the World Athletics Testing Pool provide complete, accurate and updated whereabouts information as required by the World Athletics Anti-Doing Rules. ➤ Ensure (directly or through your NADO) that athletes are aware and kept informed of their whereabouts obligations. | <ul style="list-style-type: none"> ➤ Permanent obligation. |
| TESTING | | |
| <ul style="list-style-type: none"> ➤ Ensuring an effective, intelligent, and proportionate National Testing Program for international-level athletes. ➤ Ensuring strict compliance with testing requirements for National Team athletes competing at the 2023 Budapest World Championships. | <ul style="list-style-type: none"> ➤ Work with NADO to identify at an early stage, athletes who may be selected in the National team for World Athletics Series events taking place in 2023 and thereafter keeping the list updated throughout. ➤ Ensure that athletes likely to be selected for the World Championships in Budapest and subject to the testing requirements under 15.5.1 c) are informed of these requirements. ➤ Ensure there is a robust annual testing plan for this group based on risk assessment in cooperation with your NADO including in-competition testing and out-of-competition testing, blood testing and urine testing. ➤ Ensuring that there is a minimum of <u>three (3) no-notice out-of-competition tests for all athletes who may be in the National team in the 10 months prior to the 2023 World Championships, in strict accordance with the terms of 15.5.1 (c).</u> ➤ Ensure that all testing of athletes is conducted under the documented authority of the local NADO and using their sample collection personnel to collect the samples. No testing is to be physically conducted by your Federation. ➤ Ensure that all samples are collected in accordance with the International Standard for Testing and Investigations (Appendix 5 of the World Athletics Anti-Doping Rules) and sent for analysis to a WADA-accredited laboratory. ➤ Ensure all doping control forms are recorded in ADAMS on a timely basis. | <ul style="list-style-type: none"> ➤ List of athletes in the National Testing Pool(s) to be submitted to the AIU by no later than 15 January 2023. ➤ Test Distribution Plan for athletes in the Pool(s) to be shared with the AIU by no later than 31 January 2023. ➤ Updated plan and Intermediate Report (including testing records for potential National team members) by 31 May 2023. ➤ Testing records for National Team members to be submitted by no later than 30 July 2023. |

**CATEGORY 'A' FEDERATIONS
SPECIFIC OBLIGATIONS (2/2)**

| OBJECTIVE | REQUIREMENT | TIMELINE |
|---|--|---|
| EDUCATION | | |
| <ul style="list-style-type: none"> ➤ Ensuring that elite athletes and support personnel are educated on main Anti-Doping issues. ➤ Assisting the AIU in developing its education program and encourage participation. | <ul style="list-style-type: none"> ➤ Ensure there is mandatory education program for all athletes and athletes support personnel participating in World Athletics Championships Budapest 2023 in cooperation with National Anti-Doping Agency (where applicable) on all topics listed under 15.5.3 (a). ➤ Make every effort to ensure that international level athletes and support personnel, who take part in World Athletics Series events, participate in educational activities organised by the AIU. | <ul style="list-style-type: none"> ➤ Education Plan to be shared with the AIU by no later than 01 March 2023. ➤ Submit concise report by no later than 31 October 2023 explaining how your federation complied with article 15.5.3 (a) requirement. |

CATEGORY 'B' FEDERATIONS
SPECIFIC OBLIGATIONS (1/3)

| OBJECTIVE | REQUIREMENT | TIMELINE |
|--|---|--|
| ANTI-DOPING OVERSIGHT FUNCTION | | |
| <ul style="list-style-type: none"> ➤ Assign resources to oversee and ensure compliance with Rule 15 requirements. | <ul style="list-style-type: none"> ➤ Appoint one person as primary contact for the AIU with authority over Anti-Doping matters and communicate that person's contact details to the AIU. | <ul style="list-style-type: none"> ➤ Permanent obligation/update details of contact person when new person appointed. |
| | <ul style="list-style-type: none"> ➤ Provide the AIU upon request with details of the names, backgrounds and responsibilities of each person appointed to oversee and ensure the federation's compliance with the requirements of this Rule 15 and keep such information up to date. | |
| WHEREABOUTS | | |
| <ul style="list-style-type: none"> ➤ Assisting the AIU in collecting and maintaining Whereabouts information from NF's athletes selected in the World Athletics Testing Pool. | <ul style="list-style-type: none"> ➤ Take all necessary steps to ensure that the athletes from your Federation in World Athletics Registered Testing Pool provide complete, accurate and updated whereabouts information. | <ul style="list-style-type: none"> ➤ Permanent obligation. |
| | <ul style="list-style-type: none"> ➤ Ensure athletes are aware and kept informed of their whereabouts obligations. | |

CATEGORY 'B' FEDERATIONS
SPECIFIC OBLIGATIONS (2/3)

| OBJECTIVE | REQUIREMENT | TIMELINE |
|---|--|--|
| TESTING | | |
| <ul style="list-style-type: none"> ➤ Ensuring an effective, intelligent, and proportionate Testing Plan is maintained and implemented at national level for athletes competing at international competitions. ➤ Ensuring that athletes selected in the National Team for World Championships are adequately tested in the lead-up to the event. | <ul style="list-style-type: none"> ➤ Communicate with your local National Anti-Doping Organization (“NADO”) about your Federation’s Testing obligations under Rule 15 at the earliest possible opportunity. | <ul style="list-style-type: none"> ➤ Between 1st January 2023 and the start of the World Athletics Championships in Budapest. ➤ National Registered Testing Pool and Test Distribution Plan to be available for communication to the AIU (directly or through your NADO) at any time, upon request. |
| | <ul style="list-style-type: none"> ➤ Request, advocate for and (where applicable) ensure funding for the support of your local NADO to maintain and implement an effective Testing Plan in Athletics in accordance with the requirements of Rule 15. | |
| | <ul style="list-style-type: none"> ➤ Identify at the earliest practicable time, the athletes likely to be selected for World Athletics Series events and communicate the list to the NADO to develop an appropriate Testing Plan for the team and keep the list up to date throughout. | |
| | <ul style="list-style-type: none"> ➤ Ensure the National Testing Pool and Testing Plan is documented and made available to the AIU upon request. | |
| | <ul style="list-style-type: none"> ➤ Ensure the Testing Plan is implemented in strict accordance with requirements under Rule 15.6.1. | |
| | <ul style="list-style-type: none"> ➤ Ensure that all Testing of athletes is conducted under the documented authority of the local NADO and using their sample collection personnel to collect the samples. | |
| | <ul style="list-style-type: none"> ➤ No Testing is to be physically conducted by your federation. | |
| | <ul style="list-style-type: none"> ➤ The Testing Plan is to include in-competition Testing, no notice out-of-competition Testing and pre-competition blood Testing for detection and profiling analyses as prescribed under WADA’s Technical Document on Sport-Specific Analyses (TDSSA). | |
| | <ul style="list-style-type: none"> ➤ Ensure that all samples are collected in accordance with the International Standard for Testing and Investigations (Appendix 5 of the World Athletics Anti-Doping Rules) and sent to a WADA-accredited Laboratory for analysis. | |
| | <ul style="list-style-type: none"> ➤ Make sure your Federation can report at any time on the implementation of the Testing Plan upon request of the AIU, directly or through the relevant NADO. | |
| <ul style="list-style-type: none"> ➤ Ensure that all doping control forms are systematically recorded by the the NADO on ADAMS and made available to the AIU upon request. | | |

CATEGORY 'B' FEDERATIONS
SPECIFIC OBLIGATIONS (3/3)

| OBJECTIVE | REQUIREMENT | TIMELINE |
|--|---|---|
| EDUCATION | | |
| <ul style="list-style-type: none"> ➤ Use best endeavors to ensure that athletes selected in National Team for the Olympic Games and World Athletics Series Events and Athletes' support personnel under NF's jurisdiction are subject to mandatory education programs on main anti-doping issues. | <ul style="list-style-type: none"> ➤ Use best efforts to ensure there is a mandatory education program for all athletes and athletes support personnel participating in WAS events. | <ul style="list-style-type: none"> ➤ Mandatory education program to be implemented in the lead-up to the World Athletics Championships in Budapest. |
| | <ul style="list-style-type: none"> ➤ Ensure that all education at national level is provided in co-operation with the local NADO. | |
| | <ul style="list-style-type: none"> ➤ Create/maintain educational content and framework directly or through the relevant NADO and ensure compliance from all participants. | |
| | <ul style="list-style-type: none"> ➤ Identify and list all participants who take part in national level education programs and ensure the list of participants is available to the AIU upon request. | |
| | <ul style="list-style-type: none"> ➤ Cooperate with the AIU for the development and implementation of Anti-Doping education programs in Athletics, including for International-Level Athletes. | |

CATEGORY 'C' FEDERATIONS
SPECIFIC OBLIGATIONS (1/4)

| OBJECTIVE | REQUIREMENT | TIMELINE |
|---|--|---|
| TESTING | | |
| <ul style="list-style-type: none"> ➤ Take all reasonable steps to ensure that the athletes selected in the National Team for any World Championships or Olympic Games are subject to testing prior to the competition and that the samples are collected by the relevant Anti-Doping organization or other sample collection authority in compliance with the International Standard for Testing and Investigations. | <ul style="list-style-type: none"> ➤ Communicate with your National Anti-Doping Organization (“NADO”) or Regional Anti-Doping Organization (“RADO”), if there is no NADO, regarding your Federation’s Testing obligations under Rule 15 at the earliest possible opportunity. | <ul style="list-style-type: none"> ➤ Permanent obligation. |
| | <ul style="list-style-type: none"> ➤ Request, advocate for and (where applicable) ensure funding for the support of your local NADO or RADO to maintain and implement an effective Testing Plan in Athletics in accordance with the requirements of Rule 15. | |
| | <ul style="list-style-type: none"> ➤ Engage with the relevant NADO to identify at the earliest practicable time the athletes likely to be selected in any World Championships or Olympic Games to develop an appropriate Testing Plan for this group. | |
| | <ul style="list-style-type: none"> ➤ Ensure that all Testing of athletes is conducted under the documented authority of the NADO or RADO and using their sample collection personnel to collect the samples. ➤ No Testing is to be physically conducted by your federation. | |
| | <ul style="list-style-type: none"> ➤ Ensure that all samples are collected in accordance with the International Standard for Testing and Investigations (Appendix 5 of the World Athletics Anti-Doping Rules) and sent for analysis to a WADA-accredited Laboratory. | |
| | <ul style="list-style-type: none"> ➤ Make sure your Federation can report at any time on the implementation of the Testing Plan upon request of the AIU, directly or through the relevant NADO or RADO. | |
| | <ul style="list-style-type: none"> ➤ Ensure that all doping control forms are systematically recorded by the NADO or RADO on ADAMS and made available to the AIU upon request. | |
| | | |

CATEGORY 'C' FEDERATIONS
SPECIFIC OBLIGATIONS (2/4)

| OBJECTIVE | REQUIREMENT | TIMELINE |
|---|--|---|
| EDUCATION | | |
| <ul style="list-style-type: none"> ➤ Use best endeavors to ensure that athletes selected in National Team for World Athletics Series Events or Olympic Games and all Athletes support Personnel under NF's jurisdiction are subject to mandatory education programs on main Anti- Doping issues. | <ul style="list-style-type: none"> ➤ Use best efforts to ensure there is a mandatory education program for all athletes and athletes support personnel participating in WAS events. ➤ Ensure that all education at national level is provided in co-operation with the NADO or RADO. ➤ Create/maintain educational content and framework directly or through the relevant NADO or RADO and ensure compliance from all participants. ➤ Identify and list all participants who take part in national level education programs and ensure the list of participants is available to the AIU upon request. ➤ Cooperate with the AIU for the development and implementation of Anti-Doping education programs in Athletics, including for International-Level Athletes. | <ul style="list-style-type: none"> ➤ Permanent obligation. |
| ADOPTION OF COMPLIANT RULES AND REGULATIONS | | |
| <ul style="list-style-type: none"> ➤ Ensure full enforcement of World Athletics Rules and Regulations in your jurisdiction. | <ul style="list-style-type: none"> ➤ Incorporate World Athletics Anti-Doping Rules in your own Regulatory framework. ➤ Ensure that all individuals participating in the sport of athletics under your jurisdiction (athletes and support personnel) are bound by the World Athletics Anti-Doping Rules and Regulations and are fully liable in case of any breach of these Rules/Regulations. | <ul style="list-style-type: none"> ➤ Permanent obligation. |

CATEGORY 'C' FEDERATIONS
SPECIFIC OBLIGATIONS (3/4)

| OBJECTIVE | REQUIREMENT | TIMELINE |
|---|---|---|
| REPORT & PURSUE ANTI-DOPING RULE VIOLATIONS | | |
| <ul style="list-style-type: none"> ➤ Ensure that all potential or asserted Anti-Doping rule violations are duly investigated, pursued, and reported to the AIU. | <ul style="list-style-type: none"> ➤ Ensure that all information or intelligence, direct or indirect evidence of a potential Anti-Doping rule violation committed by an individual under your jurisdiction, is brought to the attention of the AIU (directly or through the relevant ADO). | <ul style="list-style-type: none"> ➤ Permanent obligation. |
| | <ul style="list-style-type: none"> ➤ Actively assist the AIU in its investigations when requested to do so. | |
| | <ul style="list-style-type: none"> ➤ Ensure proper and timely pursuit of investigations and disciplinary proceedings under your direct responsibility, if required after consulting the AIU. | |
| | <ul style="list-style-type: none"> ➤ Ensure that the relevant ADO in your country is actively cooperating with the AIU in all investigations, cases, and proceedings in Athletics. | |
| RESULTS MANAGEMENT OBLIGATIONS | | |
| <ul style="list-style-type: none"> ➤ Timely and efficient reporting, processing, and enforcement of doping cases/decisions in strict accordance with the procedures and timelines set out under World Athletics Anti-Doping Rules. | <ul style="list-style-type: none"> ➤ Actively assist the AIU in identifying and reaching out to the athlete/support personnel reported with an Anti-Doping rule violation (doping control form, contact details). | <ul style="list-style-type: none"> ➤ Permanent obligation. |
| | <ul style="list-style-type: none"> ➤ Ensure timely commencement of proceedings, timely hearings, and decisions. Ensure that the AIU has full knowledge of all relevant information pertaining to a case in Athletics (either directly or through the relevant ADO). | |
| | <ul style="list-style-type: none"> ➤ Ensure full enforcement of doping sanctions and decisions including return of medals, repayment of prize money and the Rules on status during ineligibility. | |

CATEGORY 'C' FEDERATIONS
SPECIFIC OBLIGATIONS (4/4)

| OBJECTIVE | REQUIREMENT | TIMELINE |
|---|---|---|
| <ul style="list-style-type: none"> ➤ Promote an open environment in Athletics that encourages Athletes or support personnel to report on doping. | <ul style="list-style-type: none"> ➤ Ensure an effective mechanism for receiving/collecting/processing complaints/information is in place, directly or through the relevant ADO. | <ul style="list-style-type: none"> ➤ Permanent obligation. |
| | <ul style="list-style-type: none"> ➤ Encourage athletes and support personnel to report doping. | |
| THERAPEUTIC USE EXEMPTIONS | | |
| <ul style="list-style-type: none"> ➤ Ensure a system is in place at national level to review and grant TUEs for National-level athletes. | <ul style="list-style-type: none"> ➤ Ensure an effective mechanism for receiving/collecting/processing complaints/information is in place, directly or through the relevant ADO. | <ul style="list-style-type: none"> ➤ Permanent obligation. |
| | <ul style="list-style-type: none"> ➤ Encourage athletes and support personnel to report doping. | |