WORDS FROM THE CHAIR

“While we are proud of our achievements to date, we certainly recognise that there is much more work to do to ensure that the sport of athletics continues to rebuild its credibility and enjoys a strong reputation for integrity.”

David Howman
Chair of the Athletics Integrity Unit Board

In my introduction to the 2018 Annual Report, I said: “the AIU has quickly established itself as an effective, transparent and engaged organisation, a global leader in sports integrity.”

I believe that our activities in 2019 have reinforced and enhanced that position internationally, both within athletics and across sport in general.

The most significant piece of work undertaken in 2019 was the investigation and subsequent charges issued against the Russian Athletics Federation (RusAF) and several RusAF officials relating to their involvement in the submission of forged documents and false explanations to the AIU in connection with the Whereabouts Failures case of Danil Lysenko.

This case embodied some of the very reasons the AIU was created: to conduct high level, complex investigations, to uncover networks and institutionalised wrongdoing and to ensure that administrators and institutions are as accountable under the rules as athletes.

Our report to the World Athletics Council led to further sanctions against RusAF including a fine. This decision underpins World Athletics’ commitment to deal with dishonest practices even at the highest levels of the sport.

Our work in non-doping matters is equally important and another significant case that merits mention here is the AIU’s investigation of a candidate for the posts of World Athletics Vice President and Council Member following an allegation of a violation of the Candidacy Rules and Integrity Code of Conduct. This investigation led to the candidate being removed from the voting papers for the elections.

This outcome displayed the sport’s commitment to integrity, the sound framework on which the World Athletics’ governance reforms are based, and the AIU’s independence and capabilities in terms of investigation and management of such cases, especially within tight timeframes.

At the World Athletics Championships in Doha, the AIU, in partnership with the Doha 2019 Local Organising Committee (LOC) and the Qatar Anti-Doping Commission (QADC), organised one of the most advanced and comprehensive testing programme in the history of the championships. Besides the number of blood and urine samples collected the AIU also implemented a series of innovative research initiatives which included the measurement of endogenous androgenic anabolic steroids in blood and the analysis of new matrices for the detection of blood doping.

In addition, athletes and their entourage were the recipients of a strong outreach and education programme before and during the championships. The AIU’s outreach activities Athletics Integrity Hub covered all areas of integrity, attracted over a thousand athletes from national federations and received plaudits from within our sport, from other sports federations and other stakeholders in our sport.

Finally, although these appointments took place in 2020, I would like to point out that the governance structure of the AIU has been bolstered by the appointment of Victoria Aggar and Jill Pilgrim to our Board. They both are highly respected individuals who bring abilities and skills which will be a huge asset to the AIU, and I would like to offer them a warm welcome. While we are proud of our achievements to date we certainly recognise that there is much more work to do to ensure that the sport of athletics continues to rebuild its credibility and enjoys a strong reputation for integrity.
WORDS FROM THE HEAD

“Based on what we achieved in 2019, I am very optimistic that the AIU will continue to have a major impact on protecting the integrity of athletics globally.”

Brett Clothier
Head of the Athletics Integrity Unit

One of the key goals for AIU at the time of its creation was for the sport of athletics to be able to uncover its own wrongdoings and expose the networks behind cases to deliver real change in the sport. This instils confidence amongst the key stakeholders — that our sport is in control and capable of addressing existing and emerging integrity challenges that it faces.

I am happy to be in a position to report that the AIU did some truly ground-breaking work in 2019 to help us make significant progress towards achieving that vision and bolstering the image of the sport as a true leader in international sports integrity.

The Chair has referred to some of them in his report and you will find a summary of our activities in 2019 in the subsequent pages.

Operationally we strengthened our core functional areas with addition of highly competent staff to proactively identify and address existing and potential integrity risks.

2019 was the first year of the implementation of the Rule 15 of the World Athletics Anti-Doping Rules – which sets out National Federations’ anti-doping obligations. The AIU’s compliance team worked closely with the national federations through the year to help them meet the requirements as per their risk category.

Based on the data gathered during the year, we can say the Rule enabled a global improvement of anti-doping standards and inspired the national bodies to take the fight against doping to a higher level. Through the efforts of National Federations and National Anti-Doping Agencies, more funding was made available for testing at national level, which ensured that athletes who competed at the World Athletics Championships in Doha had gone through a higher level of scrutiny than ever before. This also enabled the AIU to focus its own testing efforts in a much more targeted manner.

Another development of strategic importance was the launch of the Road Running Integrity Programme, through which the World Athletics Label Road Races, athlete representatives and athletes pledged to make financial contributions to help bolster and protect the integrity of the highly lucrative road running discipline. Such private funding of integrity measures is unique, with few parallels especially amongst the Olympic sports, and serves to protect the future of the industry and the interests of professional runners. The programme got underway in December 2019 with extensive education workshops with the World Athletics Label Athletes in Eldoret, Kenya and Addis Ababa, Ethiopia.

As I write these opening words in May 2020, it is evident to all that the coronavirus (COVID-19) pandemic has had a huge impact on our work since the start of March this year but these issues will be covered in next year’s Annual Report.

Nevertheless, based on what we achieved in 2019, I am very optimistic that the AIU will continue to have a major impact on protecting the integrity of athletics globally.
The figures are inclusive of expenditure on third party Anti-Doping Programmes which were fully funded (such as the World Marathon Majors Programme) and expenses that are recoverable from the Russian Athletic Federation under its reinstatement conditions.

The Head of the AIU was remunerated $337,440 during 2019.

The chairman was paid an annual remuneration of $25,000 while two other independent members were paid $13,125 each.

January to December 2019. All figures are in US dollars.
ATHLETICS INTEGRITY UNIT IN NUMBERS
Some of the key accomplishments of 2019

TESTING & COMPLIANCE

SAMPLING STATISTICS

- **10,921** samples collected
- **2632** athletes from **135** countries
- **545** athletes from **75** nationalities

DISTRIBUTION OF SAMPLES COLLECTED

- **3149** samples collected from **2632** athletes
- **7772** samples collected at **186** competitions

SAMPLES COLLECTED BY DISCIPLINE

- **49%** Long Distance
- **18%** Sprints
- **11%** Throws
- **10%** Middle Distance
- **11%** Jumps
- **9%** Combined Events

SAMPLE DISTRIBUTION BY GENDER

- **53.6%** Male
- **46.4%** Female

NATIONAL FEDERATION ANTI-DOPING OBLIGATIONS

In the lead-up to WCH DOHA 2019, the National Federations ensured a much greater level of testing for their athletes than ever before. This was funded entirely at the national level.

**OOCT** = Out-of-competition testing

**Outliers**

- **51%** of athletes at WCH LONDON 2017 competed with zero OOCT tests
- **25%** of athletes at WCH DOHA 2019 competed with 3+ OOCT tests
- **43%** of athletes at both events had zero OOCT tests
## CASE MANAGEMENT

### ATHLETES FROM NATIONAL Federations

- Disciplinary cases brought in 2019, domestic and international: 376
- National-level doping cases: 277
- International-level non-doping cases: 4

### SUBSTANCES

- Steroids: 130
- Specified substances: 85
- EPO: 29
- Growth Hormone & Peptide: 1

### 95 DOPING CASES INVOLVING INTERNATIONAL-LEVEL ATHLETES & SUPPORT PERSONNEL

- Altypical Findings (ATF): 9
  - Concluded with a sanction: 24
  - Concluded with no violation: 14
  - Pending, including: 48
    - Under CAS appeal: 1
    - Pending 1st Instance: 17

### TYPES OF ANTI-DOPING RULE VIOLATIONS

- AAFs* including:
  - Steroids: 43
  - EPO: 12
  - Other peptides: 10
  - Specified substances: 10
- APFs** including:
  - Closed with no ADRVs: 13
  - Sanctioned: 5
  - Pending: 2

- Non-Analytical findings including:
  - Use of a Prohibited Substance or Method: 2
  - Tampering: 1
  - Prohibited association: 11
  - Whereabouts Failures: 2

*AAFs = Adverse Analytical Findings
**APFs = Adverse Passport Findings

## INVESTIGATIONS & INTELLIGENCE

### LYSENKO CASE

The Russian Athletics Federation and senior officials were charged with serious breaches of the Anti-Doping Rules following an extensive investigation by the AIU regarding Russian high-jumper Danil Lysenko’s explanation of a whereabouts failure.

- Conducted 22 witness interviews
- Acquired 14 electronic storage devices including mobile phones and computer hard drives from relevant persons for inspection and analysis
- Conducted digital forensic analysis of over 6 terabytes of electronic data collected
- Translated approximately 7000 documents of records from Russian to English

### INVESTIGATIONS NON-ANALYTICAL AND INTEGRITY-RELATED AREAS ARE ONGOING FOR:

- CORRUPTION
- TAMPERING
- WHEREABOUTS FAILURES
- TRANSFERS OF ALLEGIANCE

### INVESTIGATIONS AT VARYING STAGES

- INTEGRITY: 34 ONGOING
- DOPING: 14 CLOSED
- OTHER: 10 ONGOING

### NO. OF INVESTIGATIONS

- Situations at varying stages: 98
- OPENED: 48
- CLOSED: 37
- OPENED: 13
COMMUNICATIONS & EDUCATION

WEBSITE STATISTICS

GLOBAL AUDIENCE WITH 60,703 USERS
 PAGE VIEWS 1.05M UP 50% ON 2018

SOCIAL MEDIA STATISTICS

12.3M IMPRESSIONS
 ENGAGEMENT UP 1,529% ON 2018

FOLLOWER GROWTH
 ON 2018

OUTREACH AND ATHLETE ENGAGEMENT PROGRAMMES

OUTREACH

WORLD ATHLETICS RELAYS
Yokohama, Japan

ASIAN ATHLETICS CHAMPIONSHIPS
Doha, Qatar

FACE-TO-FACE SESSIONS

ROAD RUNNING EDUCATION SESSIONS
Eldoret, Kenya
Addis Ababa, Ethiopia

Attended by 200+ top road runners from Kenya, Ethiopia and Uganda.

 Held over 4 days
 Sessions organised 8

2 MEETINGS WITH ATHLETES’ COMMISSION

1 OPEN SESSION WITH THE AIU
Monaco

OUTREACH PROGRAMME PARTNERS IN 2019:

NADO of:
Japan (Japan Anti-Doping Agency, JADA)
Qatar (Qatar Anti-Doping Commission, QADC)
Kenya (Anti-Doping Agency of Kenya, ADAK)
Ethiopia (Ethiopian National Anti-Doping Office, ETH-NADO)

EDUCATION THROUGH COLLABORATIONS

NATIONAL FEDERATION ANTI-DOPING OBLIGATIONS

Education activities conducted by National Federations as part of the requirements:

84% of the athletes attending WCH DOHA 2019 received education before the championships.

AREA ASSOCIATION INITIATIVE

I RUN CLEAN E-LEARNING PROGRAMME BY EUROPEAN ATHLETICS

Certification issued to 6500 Athletes

0 0 0

51 National Federations

NEWSLETTERS

4 AIU newsletters sent to over 2200 athletes, athlete support personnel and athletics officials.

#MySportMyIntegrity, with content covering education for athletes, and Athletics Integrity Watch including all the latest updates on integrity in athletics from the AIU.