WORDS FROM THE CHAIR

As we look back on one of the most extraordinary years in recent memory, I believe that despite the serious difficulties posed by the COVID-19 pandemic, the AIU continued to fulfil its mandate effectively, finding innovative ways to meet the challenges of the moment. As the challenges for our operations became apparent in March 2020, ‘business as usual’ was put aside and the focus of the AIU Board quickly changed to the following key strategic priorities:

- Ensuring that we were able to maintain the level of **vigilance** required to protect the sport in the lead up to the Tokyo 2020 Olympic Games.
- Identifying **opportunities** in the crisis - particularly through adapting to the use of emerging technology.
- Revisiting our overall **strategy** to ensure it was fit for purpose, given the effect of the pandemic on sport and society in the short and long term.

Vigilance maintained

In the testing context, the challenges of the pandemic were extreme. But the Board’s clear view was that as much testing as possible should continue, provided it was safe and practicable to do so. Thanks to the resourcefulness and logistical skill of our team, 4204 out-of-competition samples were collected around the world in 2020, all with strict adherence to health and safety protocols. To put it in context, these numbers are approximately 70% of corresponding testing figure in a year like 2018, which also did not have either a World Athletics Championships or the Olympic Games. These are impressive numbers, representing a high degree of deterrence and illustrated that in 2020 the AIU and the sport of athletics did not raise the white flag on anti-doping.

The message was the same when it came to the AIU’s case management and investigative work. Despite the challenges of remote working, 47 First Instance Decisions were rendered in 2020. This included a number of high-profile cases of importance to the sport, which were prosecuted across the whole spectrum of integrity challenges. A prime example is the outcome of the Danil Lysenko case, which resulted in sanctions to highly placed Russian Athletics Federation officials and administrators. This case sent the message that those who aid and abet cheating will be held equally accountable for what they do. The high standards of integrity are not just expected of athletes but from anyone who has a role in the sport irrespective of their stature.

Identifying opportunities

The COVID-19 pandemic resulted in a large uptake in the use of video conferencing and the AIU was able to take advantage of this to transform certain parts of our operations in ways that will hold us in good stead for the future. We adapted our education programmes to embrace digital technology, conducting a pilot programme of education for international level athletes and support personnel. The programme consisted of webinar sessions in multiple languages and enabled us to connect directly with our constituents across the world – something that had been impossible for us to do at scale previously. The programme has continued into 2021 and will be central to our long-term education strategy. Video conferencing has also been adapted to our investigative and prosecution processes, enabling us to conduct global investigations and disciplinary hearings extremely efficiently and effectively without compromising fairness. The changes made to our investigative and the disciplinary processes will also be used into the future.

Revisiting our strategy

Travel restrictions made it impossible for the Board to meet in person after January 2020, however we made up for it by meeting virtually every month in 2020. We made progress on several fronts, but most importantly we conducted a review of the long-term AIU strategy which was approved by the Board in January 2021. This review identified the serious challenges that exist to the integrity of the sport in the future, both in the area of doping and other integrity issues, and sets out the Board’s vision and strategies to meet those challenges. The new AIU strategy will be published soon after publication of this report.

In conclusion

The quality and quantity of output from the AIU in the past 12 months, despite the very difficult circumstances caused by the pandemic, is a significant achievement. As a young organisation it was very important for us to continue to move forward in 2020 and we managed to do that. I would like to thank the AIU staff for their efforts and also the AIU Board members for their valuable contribution and commitment.
2020 was a year of unique challenges. Due to reduced competitions, travel and sanitary restrictions and severe financial uncertainty, we sought to reduce expenditure quite significantly compared to our original forecast in 2020. At the same time our ambitions remained high – the sport of athletics has begun to restore its reputation and we wanted to continue to take the integrity of the sport forwards in 2020, despite much of the world seeming to stand still.

We met the challenge by creatively managing resources, deploying assets where they could have the greatest impact, restructuring our teams to focus on what we were able to control and bolstering our education programming, embellishing it rather than taking things away. Thanks to innovative digital technologies, we were actually able to do more across the range of our activities with limited resources.

The globality of our testing programme required continual monitoring by country, region, and city, as situations evolved in different regions of the world. The pandemic required us to adapt the way we operated, ensuring that the maximum number of safe and effective tests could still be carried out in a way that endangered neither athletes, their families, nor personnel conducting the tests. Our efforts were successful: a high level of deterrence that ensured our programme remained robust, despite the difficulties that had to be overcome. In the subsequent pages of this report, you will find data related to what we managed to achieve with respect to testing, cases, investigations, and education. In addition, we also conducted a great deal of research on the broader integrity issues confronting the sport which will continue to inform our strategy development in the future.

The privately funded Road Running Integrity Programme launched at the start of 2020, originally involving 180 races, was adjusted to account for the drastically changed circumstances. Due to the decreased number of races, the programme was adapted to the economic reality while still maintaining its original long-term objective of protecting the integrity of athletics. There was clear feedback from the key stakeholders, when the sport does return to a more normal level of competition in the future, it should be with a strong integrity platform still in place.

We hope that our efforts in 2020 give the athletics community and fans confidence that the integrity of our sport is a priority that will be maintained to high standards no matter the challenges we may face in the future.
FINANCES
Financial report for the year 2020

<table>
<thead>
<tr>
<th>Department</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>TESTING &amp; COMPLIANCE</td>
<td>$ 2,744,750</td>
</tr>
<tr>
<td>CASE MANAGEMENT</td>
<td>$ 1,254,629</td>
</tr>
<tr>
<td>COMMUNICATIONS &amp; EDUCATION</td>
<td>$ 173,136</td>
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<tr>
<td>INVESTIGATIONS &amp; INTELLIGENCE</td>
<td>$ 131,563</td>
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<tr>
<td>SUPERVISORY BOARD</td>
<td>$ 102,543</td>
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<tr>
<td>ADMINISTRATION</td>
<td>$ 324,440</td>
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<tr>
<td>STAFF COSTS (gross salaries including social insurance)</td>
<td>$ 3,126,396</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>$ 7,857,457</strong></td>
</tr>
</tbody>
</table>

January to December 2020. All figures are in US dollars.

Remarks:

- The figures are inclusive of expenditure on the Label Road Race Anti-Doping Programme which was fully funded by Label Road Races, the World Marathon Majors, Athletes and their representatives and shoe companies, Asics, Adidas and Nike ($1,029,159) and expenses that are recoverable from the Russian Athletic Federation under its reinstatement conditions ($470,083).
- The Head of the AIU was remunerated as follows in 2020: Gross Salary of €270,000 ($332,100); Housing allowance of €80,004 ($98,405). Conversion to US dollars, based on 31 December 2020 exchange rate.
- In 2020, the annual remuneration for the independent board members was as follows: $25,000 for the Chairman; $15,000 for the independent board members. Note - Two independent board members who commenced on 12 March 2020 received pro-rata amount.
THE HIGHLIGHTS OF 2020 IN NUMBERS

The year was marked by the several restrictions caused by the COVID-19 pandemic. The AIU team recalibrated its strategic objectives and successfully adapted to the changed landscape. New ways were found to push forward in our quest to safeguard the integrity of the sport of athletics.

TESTING

The figures below only reflect the testing activities carried out by the AIU. The AIU’s testing is focused on elite top-end athletes and is designed to be specific, targeted and intelligence-led. The other levels of athletes are covered through the efforts of the National and Regional Anti Doping Organisations (NADOs and RADOs), and that data is collated and published separately by the World Anti-Doping Agency (WADA).

DISTRIBUTION OF SAMPLES COLLECTED BY DISCIPLINE

- LONG DISTANCES DISCIPLINES - 10
- THROWS DISCIPLINES - 8
- SPRINTS DISCIPLINES - 10
- JUMPS DISCIPLINES - 8
- MIDDLE DISTANCES DISCIPLINES - 4
- COMBINED EVENTS DISCIPLINES - 2

Note: This graph includes testing activities carried out for the Road Running Integrity Programme. It needs to be noted that approximately 85% of World Athletics Label Road Runners come from the East African region.
6003
ANALYSIS COMPLETED

SAMPLES COLLECTED
- IN-COMPETITION
- OUT-OF-COMPETITION

BLOOD SAMPLES COLLECTED
2475

URINE SAMPLES COLLECTED
2292

SAMPUES COLLECTED BY GENDER
- MALE 52%
- FEMALE 48%

TYPES OF ANALYSIS

ATHLETE BIOLOGICAL PASSPORT (ABP) - 38%
BLOOD STEROID PASSPORT (BSP) - 2.5%
ERYTHROPOIETIN (EPO) - 1%

STANDARD - 38%
ERYTHROPOIETIN (EPO) - 10%
GROWTH HORMONES (GH) - 9%
ISOTOPE-RATIO MASS SPECTROMETRY (IRMS) - 15%
Total disciplinary cases brought by AIU and at national level in 2020 - 168

33
New AIU Doping Cases Involving International Level Athletes & ASP

4
Non-Doping Cases

131
Cases and Violations Involving National Level Athletes & ASP

Types of Anti-Doping Rule Violations:

- Adverse Analytical Findings
- Adverse Passport Findings
- Non-Analytical Findings
The I&I team successfully adapted its work by using its networks of localised and specialised service providers, and by conducting more desktop-driven investigations processes more regularly than before. The quality of outcomes has not been affected, although on occasion it has taken longer to complete certain investigative steps.

**INVESTIGATIONS & INTELLIGENCE**

The I&I team successfully adapted its work by using its networks of localised and specialised service providers, and by conducting more desktop-driven investigations processes more regularly than before. The quality of outcomes has not been affected, although on occasion it has taken longer to complete certain investigative steps.

**INVESTIGATIONS ON NON-ANALYTICAL AND INTEGRITY-RELATED AREAS ARE ONGOING FOR:**

- DOPING
- CORRUPTION
- TAMPERING
- CONFLICTS OF INTEREST
- WHEREABOUTS FAILURES
- TRANSFERS OF ALLEGIANCE
- AGE MANIPULATION
- MANIPULATION OF RESULTS
- SEXUAL HARASSMENT AND ABUSE

**TOTAL NUMBER OF INVESTIGATIONS (SITUATIONS AT VARYING STAGES)**

- **INTTEGRITY**
  - 24 ONGOING
  - 10 CLOSED

- **DOPING**
  - 23 ONGOING
  - 15 CLOSED

**FIRST INSTANCE DECISIONS RENDERED IN AIU CASES**

Including cases initiated prior to 2020

- 21 Disciplinary Tribunal decisions
- 20 AIU decisions
- 5 National decisions
- 1 Court of Arbitration for Sport (CAS) decision

**CAS APPEAL DECISIONS RENDERED IN 2020**

- 3
EDUCATION

While the pandemic caused plenty of difficulties in 2020, it also presented opportunities for innovation in education activities. The AIU initiated pilot education programmes for the RTP athletes and ASP, which not only created awareness but also provides opportunities for conversation, insights and data gathering that will be utilised to inform our education strategy.

ATHLETE ENGAGEMENT PROGRAMMES

ONLINE SESSIONS
3 PILOT PROGRAMMES

EDUCATION POOL
TRACK AND FIELD RTP
ROAD RUNNING RTP
ATHLETE SUPPORT PERSONNEL

3 SESSIONS FOR ATHLETE SUPPORT PERSONNEL
22 SESSIONS CONDUCTED
ORGANISED VIA ZOOM

EDUCATION STRATEGY
THE AIU HAS ENGAGED A TEAM AT THE LEEDS BECKETT UNIVERSITY TO CO-DEVELOP A LONGTERM EDUCATION STRATEGY, WHICH WILL BE REFLECTIVE OF THE DIVERSITY OF THE SPORT AND TRULY INNOVATIVE.

32 LANGUAGES

ATHLETES REACHED
400

COUNTRIES
FROM 76

HOURS DEDICATED TO Q&A
12

SESSIONS
3 PILOT PROGRAMMES

COLLABORATIVE EFFORTS

Besides our direct education efforts, a whole range of athletes and ASP received education through collaborative efforts of the National Federations and National Anti-Doping Organisations.

ATHLETES AND ASP CERTIFIED TO HAVE COMPLETED ANTI-DOPING eLEARNING PROGRAMMES
10,121

I RUN CLEAN BY EUROPEAN ATHLETICS & ADEL BY WADA
ATHLETES AND ASP EDUCATED THROUGH THE EFFORTS OF NATIONAL FEDERATIONS & NADOS

COMMUNITY ENGAGEMENT

- 2 NATIONAL FEDERATIONS INFO SESSIONS
- 2 MEETINGS WITH WORLD ATHLETICS ATHLETE COMMISSION
- 2 MEETINGS WITH AD-HOC EDUCATION ADVISORY GROUP

2 ATHLETE QUESTIONNAIRES

- TOPICS COVERED: SAFEGUARDING & COMPETITION MANIPULATION
- CIRCULATED IN 10 LANGUAGES
- 3800 RESPONSES RECEIVED
- RESPONSE FROM 6 COUNTRIES

COMMUNICATIONS

WEBSITE

- 87,043 USERS
- 143,512 SESSIONS
- 203 COUNTRIES

NEWSLETTERS

- #MYSPORTMYINTEGRITY
  - 3 EDITIONS
  - 4120 RECIPIENTS
  - 84 COUNTRIES
  - 55% OPEN RATE

ATHLETICS INTEGRITY WATCH

- 3 EDITIONS
- 3960 RECIPIENTS
- 104 COUNTRIES
- 53% OPEN RATE

ACCESSSED THROUGH

<table>
<thead>
<tr>
<th>Device</th>
<th>Percentage</th>
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<tbody>
<tr>
<td>MOBILE</td>
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<td>TABLET</td>
<td>12%</td>
</tr>
<tr>
<td>DESKTOP</td>
<td>42%</td>
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</tbody>
</table>

SOCIAL MEDIA

- INSTAGRAM
  - UP 41.20%
- TWITTER
  - UP 53.40%
- FACEBOOK
  - UP 22.60%

NUMBER OF FOLLOWERS

- 11,242
- UP 38.20%

OVERALL GROWTH YEAR ON YEAR