The Athletics Integrity Unit (AIU) has an obligation to present an annual report in relation to the year 2017 as per the IAAF Athletics Integrity Unit Rules. Since 2017 was the year of establishment for the AIU, the report presented here is not an annual report in the traditional sense. From April to December 2017, we worked actively on setting up the foundations of the Unit that will protect the integrity of the sport in the long run.

In this report, we present the financial report of 2017 extracted from the IAAF’s audited accounts, which were finalised in July 2018. We also report on the remuneration of the AIU Board and the Head of the Unit from 2017.

The year 2017 seems like a lifetime ago for a new, growing entity like the AIU, so we also take the opportunity to tell you about some things relating to the current year – how the 2018 budget has been structured to better reflect the activities of the Unit and how this aligns with our 2018 strategic plan, that you can see below.

As we have been proactively communicating throughout 2018 about our activities and achievements, we avoid here looking back and listing our achievements from 2017. To keep this page relevant, we have included infographics that summarises the achievements of the Unit until now, which were already published and distributed in April and July 2018.

Overall, the AIU can look back at its first year with great satisfaction. The Unit has made rapid strides in the areas of its remit, particularly in the management of cases, setting up a robust intelligence-based testing programme and an effective investigation function. The commitment to transparency is at the heart of everything we do. It has been a privilege to be the Chair of our highly experienced Board that has worked tirelessly with a very talented and dedicated team. Brett Clothier, the Head of the Unit, has successfully brought together the team to deliver the responsibilities bestowed upon it by the IAAF Congress.

When the AIU started its journey in April 2017, we wanted to be a Unit that is independent in its activities, engaged with its stakeholders and effective at what it does. I can confidently say the AIU is well on its course. The journey has just started, I can assure you that the Unit has the required skills, agility and intent to deliver the highest level of integrity programmes in sport.

David Howman
STRATEGIC PLAN
The current high level strategic plan of the Athletics Integrity Unit for 2018

SUMMARY

Create a credible investigations and intelligence function

Enhance the existing anti-doping and testing function

Enhance the case management function

Create an effective and innovative education function

Create a proactive, strategic communications function

FULL PLAN
The full plan can be viewed at aiu.link/2018plan
MESSAGE FROM BRETT CLOTHIER
Head of the Athletics Integrity Unit

Our primary task in 2017 was to develop an operational structure to deliver on the vision with which the Unit was created and, in addition, craft a strategic plan for 2018. The work done in these areas created a great platform for 2018.

In addition to establishing the Unit, during 2017 we also delivered comprehensive anti-doping and education programmes at important events such as the IAAF World Relays in Nassau/BAH, and the IAAF World U18 Championships in Nairobi/KEN. In London for the IAAF World Athletics Championships we delivered a three-part championships programme that comprised anti-doping, betting monitoring programmes and an education outreach initiative. An overview of the AIU programme at London 2017 is below.

Overall, I am very satisfied that the groundwork in 2017 has set us up for the future. We have been able to bring together top experts in the field of testing, investigation and intelligence, case management and education to build a robust operational structure to deliver on our strategic objectives.

I am confident that AIU is primed to do ground-breaking work, and we will keep you updated on the progress we make.

Brett Clothier

"I am confident that AIU is primed to do ground-breaking work, and we will keep you updated on the progress we make."

OPERATIONAL STRUCTURE 2018

- **Athletics Integrity Unit Board**
- **Head of the Athletics Integrity Unit**
  - **Brett Clothier**
  - **Office Manager**
- **Testing & Compliance**
  - Led by the deputy head of the AIU/head of testing, the team comprises of a medical and scientific senior advisor, an out-of-competition testing manager, an in-competition testing co-ordinator and an ABP & whereabouts co-ordinator. (5 team members)
- **Intelligence & Investigation**
  - Led by the head of intelligence and investigation, the team comprises of an intelligence officer, an investigator, two associate analysts and four external investigators. (5 team members)
- **Education & Communication**
  - Lead by the head of education and communication, the team comprises of external consultants and service providers. (1 team member)
- **Legal**
  - Lead by the general counsel, the team comprises of a case manager, and two results management co-ordinators along with external experts and advisors. (4 team members)
FINANCES

Financial report for the year 2017
January to December 2017

<table>
<thead>
<tr>
<th>Category</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Testing Programme</td>
<td>$4,715,136</td>
</tr>
<tr>
<td>Disciplinary &amp; Legal</td>
<td>$1,181,831</td>
</tr>
<tr>
<td>Communication &amp; Education</td>
<td>$298,430</td>
</tr>
<tr>
<td>Experts-Consultants</td>
<td>$688,958</td>
</tr>
<tr>
<td>Supervisory Boards</td>
<td>$45,761</td>
</tr>
<tr>
<td>Representation (conference, meetings)</td>
<td>$160,119</td>
</tr>
<tr>
<td>Miscellaneous (frais divers, repas pro, stationery)</td>
<td>$49,654</td>
</tr>
<tr>
<td>Total (USD)*</td>
<td>$7,139,889</td>
</tr>
</tbody>
</table>

Remarks:
- The Head of the AIU was remunerated $172,229.54 for the period 18 July 2017 to 31 December 2017.
- No remuneration was made to the Board of the AIU during this period.
- The financial report is extracted from the IAAF’s audited accounts for the year 2017, which was finalised in July 2018.
- January to March expenditures of the IAAF Medical and Anti-Doping Department are included in these figures.

*Salary paid to the AIU staff from 01 January 2017 to 31 December 2017 was $653,848.96. These figures exclude the remuneration paid to the Head of the AIU. All figures are in US dollars.

Budget for the year 2018

<table>
<thead>
<tr>
<th>Category</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Testing and Results Management</td>
<td>$3,500,000</td>
</tr>
<tr>
<td>Disciplinary and Legal</td>
<td>$1,000,000</td>
</tr>
<tr>
<td>Education &amp; Communication</td>
<td>$150,000</td>
</tr>
<tr>
<td>Investigation &amp; Intelligence</td>
<td>$500,000</td>
</tr>
<tr>
<td>Supervisory Boards</td>
<td>$200,000</td>
</tr>
<tr>
<td>Administration</td>
<td>$150,000</td>
</tr>
<tr>
<td>Staff</td>
<td>$2,500,000</td>
</tr>
<tr>
<td>Total</td>
<td>$8,000,000</td>
</tr>
</tbody>
</table>

Note: The way the AIU categorises expenditures has changed in 2018 to better align with its strategic plan. All figures are in US dollars.
OVERVIEW
Some of the amazing things the Athletics Integrity Unit has achieved so far

1st YEAR ANNIVERSARY
APRIL 2018

TESTING

- 9,730 SAMPLES collected in a global, comprehensive and intelligence-based program
  - 5,968 SAMPLES collected out-of-competition
  - 3,762 SAMPLES collected in-competition
  - 3,849 BLOOD SAMPLES collected in total
  - 770 ELITE ATHLETES from 86 NATIONALITIES in 2018 International Registered Testing Pool
  - Testing conducted at 150+ COMPETITIONS sanctioned by the IAAF

5,370 ELITE ATHLETES
86 NATIONALITIES
in 2018 International Registered Testing Pool

EDUCATION & COMMUNICATION

- Successful OUTREACH PROGRAMMES at 3 IAAF World Championships
  - 110,000 people reached via Facebook
  - 378,000 impressions on Twitter
  - 9,003 website users

CASE MANAGEMENT

- 374 ADVERSE ANALYTICAL FINDINGS in domestic and international level athletics supervised by the AIU between April 2017 and April 2018
- 48 ELITE INTERNATIONAL LEVEL cases commenced from AIU targeted testing program or investigations
- 18 PROVISIONAL SUSPENSIONS of elite international-level athletes or support persons
- 11 CASES REFERRED to the Disciplinary Tribunal
- 20 CASES REFERED to the Court of Arbitration for Sport, including 11 in relation to Russian athletes

INVESTIGATIONS

- 60 INVESTIGATIONS IN TOTAL
  - 28 closed cases
  - 29 doping investigations
  - 31 integrity investigations

THE TEAM

- Team built from 5 to 17

THE DISCIPLINARY PROCESS IN NUMBERS*

120 DISCIPLINARY PROCEEDINGS since the Athletics Integrity Unit commenced operation (note: 11 cases no longer operative)

- 103 elite-level international ATHLETES
- 13 other international ATHLETES
- 4 OFFICIALS

OVERVIEW

- 118 DOPING related cases
- 2 NON-DOPING related cases

IN NUMBERS

- 24 PROVISIONAL SUSPENSIONS currently in force
- 27 pending FIRST INSTANCE CASES
- 81 concluded FIRST INSTANCE DECISIONS
- 4 pending APPEALS
- 7 concluded APPEALS

RELATING TO

- 24 cases arising from INVESTIGATIONS
- 96 cases arising from TESTING
- 43 RE-TESTING cases
- 15 cases based on MCLAREN EVIDENCE
- 57 cases involving RUSSIA

TYPES OF CASES

- 73 cases involving ANABOLIC AGENTS
  - 20 ABP cases
- 20 cases involving EPO
- 9 cases involving GH or PEPTIDES
- 6 cases involving SPECIFIED SUBSTANCES

SUBSTANCES

- 85 OLYMPIC & WORLD CHAMPIONSHIP medals
- 44 CONTINENTAL CHAMPIONSHIP medals
- 35 INDOOR WORLD CHAMPIONSHIP medals

*ALL DATA CORRECT AS OF 18 JULY 2018

www.athleticsintegrity.org
@aiu_athletics
@aiu_athletics
AthleticsIntegrityUnit

OUTREACH PROGRAMMES

- 2,500 athletes signed the Athletes’ Integrity Pledge
- 4,000 wristbands worn by athletes, featuring “Stand Tall Speak Up”
- 630 athletes took part in our integrity survey

www.athleticsintegrity.org
We have only just started and there is still so much to do. With your support, we can continue the pursuit of clean sport, so Stand Tall, Speak Up!

www.athleticsintegrity.org